

# The Stone-Čech compactification of Tychonoff spaces

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## 1 Completely regular spaces and Tychonoff spaces

A topological space  $X$  is said to be **completely regular** if whenever  $F$  is a nonempty closed set and  $x \in X \setminus F$ , there is a continuous function  $f : X \rightarrow [0, 1]$  such that  $f(x) = 0$  and  $f(F) = \{1\}$ . A completely regular space need not be Hausdorff. For example, if  $X$  is any set with more than one point, then the trivial topology, in which the only closed sets are  $\emptyset$  and  $X$ , is vacuously completely regular, but not Hausdorff. A topological space is said to be a **Tychonoff space** if it is completely regular and Hausdorff.

**Lemma 1.** *A topological space  $X$  is completely regular if and only if for any nonempty closed set  $F$ , any  $x \in X \setminus F$ , and any distinct  $a, b \in \mathbb{R}$ , there is a continuous function  $f : X \rightarrow \mathbb{R}$  such  $f(x) = a$  and  $f(F) = \{b\}$ .*

**Theorem 2.** *If  $X$  is a Hausdorff space and  $A \subset X$ , then  $A$  with the subspace topology is a Hausdorff space. If  $\{X_i : i \in I\}$  is a family of Hausdorff spaces, then  $\prod_{i \in I} X_i$  is Hausdorff.*

*Proof.* Suppose that  $a, b$  are distinct points in  $A$ . Because  $X$  is Hausdorff, there are disjoint open sets  $U, V$  in  $X$  with  $a \in U, b \in V$ . Then  $U \cap A, V \cap A$  are disjoint open sets in  $A$  with the subspace topology and  $a \in U \cap A, b \in V \cap A$ , showing that  $A$  is Hausdorff.

Suppose that  $x, y$  are distinct elements of  $\prod_{i \in I} X_i$ .  $x$  and  $y$  being distinct means there is some  $i \in I$  such that  $x(i) \neq y(i)$ . Then  $x(i), y(i)$  are distinct points in  $X_i$ , which is Hausdorff, so there are disjoint open sets  $U_i, V_i$  in  $X_i$  with  $x(i) \in U_i, y(i) \in V_i$ . Let  $U = \pi_i^{-1}(U_i), V = \pi_i^{-1}(V_i)$ , where  $\pi_i$  is the projection map from the product to  $X_i$ .  $U$  and  $V$  are disjoint, and  $x \in U, y \in V$ , showing that  $\prod_{i \in I} X_i$  is Hausdorff.  $\square$

We prove that subspaces and products of completely regular spaces are completely regular.<sup>1</sup>

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<sup>1</sup>Stephen Willard, *General Topology*, p. 95, Theorem 14.10.

**Theorem 3.** *If  $X$  is Hausdorff and  $A \subset X$ , then  $A$  with the subspace topology is completely regular. If  $\{X_i : i \in I\}$  is a family of completely regular spaces, then  $\prod_{i \in I} X_i$  is completely regular.*

*Proof.* Suppose that  $F$  is closed in  $A$  with the subspace topology and  $x \in A \setminus F$ . There is a closed set  $G$  in  $X$  with  $F = G \cap A$ . Then  $x \notin G$ , so there is a continuous function  $f : X \rightarrow [0, 1]$  satisfying  $f(x) = 0$  and  $f(G) = \{1\}$ . The restriction of  $f$  to  $A$  with the subspace topology is continuous, showing that  $A$  is completely regular.

Suppose that  $F$  is a closed subset of  $X = \prod_{i \in I} X_i$  and that  $x \in X \setminus F$ . A base for the product topology consists of intersections of finitely many sets of the form  $\pi_i^{-1}(U_i)$  where  $i \in I$  and  $U_i$  is an open subset of  $X_i$ , and because  $X \setminus F$  is an open neighborhood of  $x$ , there is a finite subset  $J$  of  $I$  and open sets  $U_j$  in  $X_j$  for  $j \in J$  such that

$$x \in \bigcap_{j \in J} \pi_j^{-1}(U_j) \subset X \setminus F.$$

For each  $j \in J$ ,  $X_j \setminus U_j$  is closed in  $X_j$  and  $x(j) \in U_j$ , and because  $X_j$  is completely regular there is a continuous function  $f_j : X_j \rightarrow [0, 1]$  such that  $f_j(x(j)) = 0$  and  $f_j(X_j \setminus U_j) = \{1\}$ . Define  $g : X \rightarrow [0, 1]$  by

$$g(y) = \max_{j \in J} (f_j \circ \pi_j)(y), \quad y \in X.$$

In general, suppose that  $Y$  is a topological space and denote by  $C(Y)$  the set of continuous functions  $Y \rightarrow \mathbb{R}$ . It is a fact that  $C(Y)$  is a **lattice** with the partial order  $F \leq G$  when  $F(y) \leq G(y)$  for all  $y \in Y$ . Hence, the maximum of finitely many continuous functions is also a continuous function, hence  $g : X \rightarrow [0, 1]$  is continuous. Because  $(f_j \circ \pi_j)(x) = 0$  for each  $j \in J$ ,  $g(x) = 0$ . On the other hand,  $F \subset X \setminus \bigcap_{j \in J} \pi_j^{-1}(U_j)$ , so if  $y \in F$  then there is some  $j \in J$  such that  $\pi_j(y) \in X_j \setminus U_j$  and then  $(f_j \circ \pi_j)(y) = 1$ . Hence, for any  $y \in F$  we have  $g(y) = 1$ . Thus we have proved that  $g : X \rightarrow [0, 1]$  is a continuous function such that  $g(x) = 0$  and  $g(F) = \{1\}$ , which shows that  $X$  is completely regular.  $\square$

Therefore, subspaces and products of Tychonoff spaces are Tychonoff.

If  $X$  is a normal topological space, it is immediate from **Urysohn's lemma** that  $X$  is completely regular. A metrizable space is normal and Hausdorff, so a metrizable space is thus a Tychonoff space. Let  $X$  be a locally compact Hausdorff space. Either  $X$  or the one-point compactification of  $X$  is a compact Hausdorff space  $Y$  of which  $X$  is a subspace.  $Y$  being a compact Hausdorff space implies that it is normal and hence completely regular. But  $X$  is a subspace of  $Y$  and being completely regular is a hereditary property, so  $X$  is completely regular, and therefore Tychonoff. Thus, we have proved that a locally compact Hausdorff space is Tychonoff.

## 2 Initial topologies

Suppose that  $X$  is a set,  $X_i$ ,  $i \in I$ , are topological spaces, and  $f_i : X \rightarrow X_i$  are functions. The **initial topology on  $X$  induced by  $\{f_i : i \in I\}$**  is the coarsest topology on  $X$  such that each  $f_i$  is continuous. A subbase for the initial topology is the collection of those sets of the form  $f_i^{-1}(U_i)$ ,  $i \in I$  and  $U_i$  open in  $X_i$ .

If  $f_i : X \rightarrow X_i$ ,  $i \in I$ , are functions, the **evaluation map** is the function  $e : X \rightarrow \prod_{i \in I} X_i$  defined by

$$(\pi_i \circ e)(x) = f_i(x), \quad i \in I.$$

We say that a collection  $\{f_i : i \in I\}$  of functions on  $X$  **separates points** if  $x \neq y$  implies that there is some  $i \in I$  such that  $f_i(x) \neq f_i(y)$ . We remind ourselves that if  $X$  and  $Y$  are topological spaces and  $\phi : X \rightarrow Y$  is a function,  $\phi$  is called an **embedding** when  $\phi : X \rightarrow \phi(X)$  is a homeomorphism, where  $\phi(X)$  has the subspace topology inherited from  $Y$ . The following theorem gives conditions on when  $X$  can be embedded into the product of the codomains of the  $f_i$ .<sup>2</sup>

**Theorem 4.** *Let  $X$  be a topological space, let  $X_i$ ,  $i \in I$ , be topological spaces, and let  $f_i : X \rightarrow X_i$  be functions. The evaluation map  $e : X \rightarrow \prod_{i \in I} X_i$  is an embedding if and only if both (i)  $X$  has the initial topology induced by the family  $\{f_i : i \in I\}$  and (ii) the family  $\{f_i : i \in I\}$  separates points in  $X$ .*

*Proof.* Write  $P = \prod_{i \in I} X_i$  and let  $p_i : e(X) \rightarrow X_i$  be the restriction of  $\pi_i : X \rightarrow X_i$  to  $e(X)$ . A subbase for  $e(X)$  with the subspace topology inherited from  $P$  consists of those sets of the form  $\pi_i^{-1}(U_i) \cap e(X)$ ,  $i \in I$  and  $U_i$  open in  $X_i$ . But  $\pi_i^{-1}(U_i) \cap e(X) = p_i^{-1}(U_i)$ , and the collection of sets of this form is a subbase for  $e(X)$  with the initial topology induced by the family  $\{p_i : i \in I\}$ , so these topologies are equal.

Assume that  $e : X \rightarrow e(X)$  is a homeomorphism. Because  $e$  is a homeomorphism and  $f_i = \pi_i \circ e = p_i \circ e$ ,  $e(X)$  having the initial topology induced by  $\{p_i : i \in I\}$  implies that  $X$  has the initial topology induced by  $\{f_i : i \in I\}$ . If  $x, y$  are distinct elements of  $X$  then there is some  $i \in I$  such that  $p_i(e(x)) \neq p_i(e(y))$ , i.e.  $f_i(x) \neq f_i(y)$ , showing that  $\{f_i : i \in I\}$  separates points in  $X$ .

Assume that  $X$  has the initial topology induced by  $\{f_i : i \in I\}$  and that the family  $\{f_i : i \in I\}$  separates points in  $X$ . We shall prove that  $e : X \rightarrow e(X)$  is a homeomorphism, for which it suffices to prove that  $e : X \rightarrow P$  is one-to-one and continuous and that  $e : X \rightarrow e(X)$  is open. If  $x, y \in X$  are distinct then because the  $f_i$  separate points, there is some  $i \in I$  such that  $f_i(x) \neq f_i(y)$ , and so  $e(x) \neq e(y)$ , showing that  $e$  is one-to-one.

For each  $i \in I$ ,  $f_i$  is continuous and  $f_i = \pi_i \circ e$ . The fact that this is true for all  $i \in I$  implies that  $e : X \rightarrow P$  is continuous. (Because the product topology is the initial topology induced by the family of projection maps, a map to a product is continuous if and only if its composition with each projection map is continuous.)

<sup>2</sup>Stephen Willard, *General Topology*, p. 56, Theorem 8.12.

A subbase for the topology of  $X$  consists of those sets of the form  $V = f_i^{-1}(U_i)$ ,  $i \in I$  and  $U_i$  open in  $X_i$ . As  $f_i = p_i \circ e$  we can write this as

$$V = (p_i \circ e)^{-1}(U_i) = e^{-1}(p_i^{-1}(U_i)),$$

which implies that  $e(V) = p_i^{-1}(U_i)$ , which is open in  $e(X)$  and thus shows that  $e : X \rightarrow e(X)$  is open.  $\square$

We say that a collection  $\{f_i : i \in I\}$  of functions on a topological space  $X$  **separates points from closed sets** if whenever  $F$  is a closed subset of  $X$  and  $x \in X \setminus F$ , there is some  $i \in I$  such that  $f_i(x) \notin \overline{f_i(F)}$ , where  $\overline{f_i(F)}$  is the closure of  $f_i(F)$  in the codomain of  $f$ .

**Theorem 5.** *Assume that  $X$  is a topological space and that  $f_i : X \rightarrow X_i$ ,  $i \in I$ , are continuous functions. This family separates points from closed sets if and only if the collection of sets of the form  $f_i^{-1}(U_i)$ ,  $i \in I$  and  $U_i$  open in  $X_i$ , is a base for the topology of  $X$ .*

*Proof.* Assume that the family  $\{f_i : i \in I\}$  separates points from closed sets in  $X$ . Say  $x \in X$  and that  $U$  is an open neighborhood of  $x$ . Then  $F = X \setminus U$  is closed so there is some  $i \in I$  such that  $f_i(x) \notin \overline{f_i(F)}$ . Thus  $U_i = X_i \setminus \overline{f_i(F)}$  is open in  $X_i$ , hence  $f_i^{-1}(U_i)$  is open in  $X$ . On the one hand,  $f(x_i) \in U_i$  yields  $x_i \in f_i^{-1}(U_i)$ . On the other hand, if  $y \in f_i^{-1}(U_i)$  then  $f_i(y) \in U_i$ , which tells us that  $y \notin F$  and so  $y \in U$ , giving  $f_i^{-1}(U_i) \subset U$ . This shows us that the collection of sets of the form  $f_i^{-1}(U_i)$ ,  $i \in I$  and  $U_i$  open in  $X_i$ , is a base for the topology of  $X$ .

Assume that the collection of sets of the form  $f_i^{-1}(U_i)$ ,  $i \in I$  and  $U_i$  open in  $X_i$ , is a base for the topology of  $X$ , and suppose that  $F$  is a closed subset of  $X$  and that  $x \in X \setminus F$ . Because  $X \setminus F$  is an open neighborhood of  $x$ , there is some  $i \in I$  and open  $U_i$  in  $X_i$  such that  $x \in f_i^{-1}(U_i) \subset X \setminus F$ , so  $f_i(x) \in U_i$ . Suppose by contradiction that there is some  $y \in F$  such that  $f_i(y) \in U_i$ . This gives  $y \in f_i^{-1}(U_i) \subset X \setminus F$ , which contradicts  $y \in F$ . Therefore  $U_i \cap f_i(F) = \emptyset$ , and hence  $X_i \setminus U_i$  is a closed set that contains  $f_i(F)$ , which tells us that  $\overline{f_i(F)} \subset X_i \setminus U_i$ , i.e.  $\overline{f_i(F)} \cap U_i = \emptyset$ . But  $f_i(x) \in U_i$ , so we have proved that  $\{f_i : i \in I\}$  separates points from closed sets.  $\square$

A  $T_1$  **space** is a topological space in which all singletons are closed.

**Theorem 6.** *If  $X$  is a  $T_1$  space,  $X_i$ ,  $i \in I$ , are topological spaces,  $f_i : X \rightarrow X_i$  are continuous functions, and  $\{f_i : i \in I\}$  separates points from closed sets in  $X$ , then the evaluation map  $e : X \rightarrow \prod_{i \in I} X_i$  is an embedding.*

*Proof.* By Theorem 5, there is a base for the topology of  $X$  consisting of sets of the form  $f_i^{-1}(U_i)$ ,  $i \in I$  and  $U_i$  open in  $X_i$ . Since this collection of sets is a base it is a fortiori a subbase, and the topology generated by this subbase is the initial topology for the family of functions  $\{f_i : i \in I\}$ . Because  $X$  is  $T_1$ , singletons are closed and therefore the fact that  $\{f_i : i \in I\}$  separates points and closed sets implies that it separates points in  $X$ . Therefore we can apply Theorem 4, which tells us that the evaluation map is an embedding.  $\square$

### 3 Bounded continuous functions

For any set  $X$ , we denote by  $\ell^\infty(X)$  the set of all bounded functions  $X \rightarrow \mathbb{R}$ , and we take as known that  $\ell^\infty(X)$  is a Banach space with the **supremum norm**

$$\|f\|_\infty = \sup_{x \in X} |f(x)|, \quad f \in \ell^\infty(X).$$

If  $X$  is a topological space, we denote by  $C_b(X)$  the set of bounded continuous functions  $X \rightarrow \mathbb{R}$ .  $C_b(X) \subset \ell^\infty(X)$ , and it is apparent that  $C_b(X)$  is a linear subspace of  $\ell^\infty(X)$ . One proves that  $C_b(X)$  is closed in  $\ell^\infty(X)$  (i.e., that if a sequence of bounded continuous functions converges to some bounded function, then this function is continuous), and hence with the supremum norm,  $C_b(X)$  is a Banach space.

The following result shows that the Banach space  $C_b(X)$  of bounded continuous functions  $X \rightarrow \mathbb{R}$  is a useful collection of functions to talk about.<sup>3</sup>

**Theorem 7.** *Let  $X$  be a topological space.  $X$  is completely regular if and only if  $X$  has the initial topology induced by  $C_b(X)$ .*

*Proof.* Assume that  $X$  is completely regular. If  $F$  is a closed subset of  $X$  and  $x \in X \setminus F$ , then there is a continuous function  $f : X \rightarrow [0, 1]$  such that  $f(x) = 0$  and  $f(F) = \{1\}$ . Then  $f \in C_b(X)$ , and  $f(x) = 0 \notin \{1\} = \overline{f(F)}$ . This shows that  $C_b(X)$  separates points from closed sets in  $X$ . Applying Theorem 5, we get that  $X$  has the initial topology induced by  $C_b(X)$ . (This would follow if the collection that Theorem 5 tells us is a base were merely a subbase.)

Assume that  $X$  has the initial topology induced by  $C_b(X)$ . Suppose that  $F$  is a closed subset of  $X$  and that  $x \in U = X \setminus F$ . A subbase for the initial topology induced by  $C_b(X)$  consists of those sets of the form  $f^{-1}(V)$  for  $f \in C_b(X)$  and  $V$  an **open ray** in  $\mathbb{R}$  (because the open rays are a subbase for the topology of  $\mathbb{R}$ ), so because  $U$  is an open neighborhood of  $x$ , there is a finite subset  $J$  of  $C_b(X)$  and open rays  $V_f$  in  $\mathbb{R}$  for each  $f \in J$  such that

$$x \in \bigcap_{f \in J} f^{-1}(V_f) \subset U.$$

If some  $V_f$  is of the form  $(-\infty, a_f)$ , then with  $g = -f$  we have  $f^{-1}(-\infty, a_f) = g^{-1}(-a_f, \infty)$ . We therefore suppose that in fact  $V_f = (a_f, \infty)$  for each  $f \in J$ . For each  $f \in J$ , define  $g_f : X \rightarrow \mathbb{R}$  by

$$g_f(x) = \sup\{f(x) - a_f, 0\},$$

which is continuous and  $\geq 0$ , and satisfies  $f^{-1}(a_f, \infty) = g_f^{-1}(0, \infty)$ , so that

$$x \in \bigcap_{f \in J} g_f^{-1}(0, \infty) \subset U.$$

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<sup>3</sup>Stephen Willard, *General Topology*, p. 96, Theorem 14.12.

Define  $g = \prod_{f \in J} g_f$ , which is continuous because each factor is continuous. This function satisfies  $g(x) = \prod_{f \in J} g_f(x) > 0$  because this is a product of finitely many factors each of which are  $> 0$ . If  $y \in g^{-1}(0, \infty)$  then  $y \in \bigcap_{f \in J} g_f^{-1}(0, \infty) \subset U$ , so  $g^{-1}(0, \infty) \subset U$ . But  $g$  is nonnegative, so this tells us that  $g(X \setminus U) = \{0\}$ , i.e.  $g(F) = \{0\}$ . By Lemma 1 this suffices to show that  $X$  is completely regular.  $\square$

A **cube** is a topological space that is homeomorphic to a product of compact intervals in  $\mathbb{R}$ . Any product is homeomorphic to the same product without singleton factors, (e.g.  $\mathbb{R} \times \mathbb{R} \times \{3\}$  is homeomorphic to  $\mathbb{R} \times \mathbb{R}$ ) and a product of nonsingleton compact intervals with index set  $I$  is homeomorphic to  $[0, 1]^I$ . We remind ourselves that to say that a topological space is homeomorphic to a subspace of a cube is equivalent to saying that the space can be embedded into the cube.

**Theorem 8.** *A topological space  $X$  is a Tychonoff space if and only if it is homeomorphic to a subspace of a cube.*

*Proof.* Suppose that  $I$  is a set and that  $X$  is homeomorphic to a subspace  $Y$  of  $[0, 1]^I$ .  $[0, 1]$  is Tychonoff so the product  $[0, 1]^I$  is Tychonoff, and hence the subspace  $Y$  is Tychonoff, thus  $X$  is Tychonoff.

Suppose that  $X$  is Tychonoff. By Theorem 7,  $X$  has the initial topology induced by  $C_b(X)$ . For each  $f \in C_b(X)$ , let  $I_f = [-\|f\|_\infty, \|f\|_\infty]$ , which is a compact interval in  $\mathbb{R}$ , and  $f : X \rightarrow I_f$  is continuous. Because  $X$  is Tychonoff, it is  $T_1$  and the functions  $f : X \rightarrow I_f$ ,  $f \in C_b(X)$ , separate points and closed sets, we can now apply Theorem 6, which tells us that the evaluation map  $e : X \rightarrow \prod_{f \in C_b(X)} I_f$  is an embedding.  $\square$

## 4 Compactifications

In §1 we talked about the one-point compactification of a locally compact Hausdorff space. A **compactification** of a topological space  $X$  is a pair  $(K, h)$  where (i)  $K$  is a compact Hausdorff space, (ii)  $h : X \rightarrow K$  is an embedding, and (iii)  $h(X)$  is a dense subset of  $K$ . For example, if  $X$  is a compact Hausdorff space then  $(X, \text{id}_X)$  is a compactification of  $X$ , and if  $X$  is a locally compact Hausdorff space, then the one-point compactification  $X^* = X \cup \{\infty\}$ , where  $\infty$  is some symbol that does not belong to  $X$ , together with the inclusion map  $X \rightarrow X^*$  is a compactification.

Suppose that  $X$  is a topological space and that  $(K, h)$  is a compactification of  $X$ . Because  $K$  is a compact Hausdorff space it is normal, and then Urysohn's lemma tells us that  $K$  is completely regular. But  $K$  is Hausdorff, so in fact  $K$  is Tychonoff. A subspace of a Tychonoff space is Tychonoff, so  $h(X)$  with the subspace topology is Tychonoff. But  $X$  and  $h(X)$  are homeomorphic, so  $X$  is Tychonoff. Thus, if a topological space has a compactification then it is Tychonoff.

In Theorem 8 we proved that any Tychonoff space can be embedded into a cube. Here review our proof of this result. Let  $X$  be a Tychonoff space, and for each  $f \in C_b(X)$  let  $I_f = [-\|f\|_\infty, \|f\|_\infty]$ , so that  $f : X \rightarrow I_f$  is continuous, and the family of these functions separates points in  $X$ . The evaluation map for this family is  $e : X \rightarrow \prod_{f \in C_b(X)} I_f$  defined by  $(\pi_f \circ e)(x) = f(x)$  for  $f \in C_b(X)$ , and Theorem 6 tells us that  $e : X \rightarrow \prod_{f \in C_b(X)} I_f$  is an embedding. Because each interval  $I_f$  is a compact Hausdorff space (we remark that if  $f = 0$  then  $I_f = \{0\}$ , which is indeed compact), the product  $\prod_{f \in C_b(X)} I_f$  is a compact Hausdorff space, and hence any closed subset of it is compact. We define  $\beta X$  to be the closure of  $e(X)$  in  $\prod_{f \in C_b(X)} I_f$ , and the **Stone-Ćech compactification of  $X$**  is the pair  $(\beta X, e)$ , and what we have said shows that indeed this is a compactification of  $X$ .

The Stone-Ćech compactification of a Tychonoff space is useful beyond displaying that every Tychonoff space has a compactification. We prove in the following that any continuous function from a Tychonoff space to a compact Hausdorff space factors through its Stone-Ćech compactification.<sup>4</sup>

**Theorem 9.** *If  $X$  is a Tychonoff space,  $K$  is a compact Hausdorff space, and  $\phi : X \rightarrow K$  is continuous, then there is a unique continuous function  $\Phi : \beta X \rightarrow K$  such that  $\phi = \Phi \circ e$ .*

*Proof.*  $K$  is Tychonoff because a compact Hausdorff space is Tychonoff, so the evaluation map  $e_K : K \rightarrow \prod_{g \in C_b(K)} I_g$  is an embedding. Write  $F = \prod_{f \in C_b(X)} I_f$ ,  $G = \prod_{g \in C_b(K)} I_g$ , and let  $p_f : F \rightarrow I_f$ ,  $q_g : G \rightarrow I_g$  be the projection maps.

We define  $H : F \rightarrow G$  for  $t \in F$  by  $(q_g \circ H)(t) = t(g \circ \phi) = p_{g \circ \phi}(t)$ . For each  $g \in G$ , the map  $q_g \circ H : F \rightarrow I_{g \circ \phi}$  is continuous, so  $H$  is continuous.

For  $x \in X$ , we have

$$\begin{aligned}
(q_g \circ H \circ e)(x) &= (q_g \circ H)(e(x)) \\
&= p_{g \circ \phi}(e(x)) \\
&= (p_{g \circ \phi} \circ e)(x) \\
&= (g \circ \phi)(x) \\
&= g(\phi(x)) \\
&= (q_g \circ e_K)(\phi(x)) \\
&= (q_g \circ e_K \circ \phi)(x),
\end{aligned}$$

so

$$H \circ e = e_K \circ \phi. \tag{1}$$

On the one hand, because  $K$  is compact and  $e_K$  is continuous,  $e_K(K)$  is compact and hence is a closed subset of  $G$  ( $G$  is Hausdorff so a compact subset is closed). From (1) we know  $H(e(X)) \subset e_K(K)$ , and thus

$$\overline{H(e(X))} \subset \overline{e_K(K)} = e_K(K).$$

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<sup>4</sup>Stephen Willard, *General Topology*, p. 137, Theorem 19.5.

On the other hand, because  $\beta X$  is compact and  $H$  is continuous,  $H(\beta X)$  is compact and hence is a closed subset of  $G$ . As  $e(X)$  is dense in  $\beta X$  and  $H$  is continuous,  $H(e(X))$  is dense in  $H(\beta X)$ , and thus

$$\overline{H(e(X))} = \overline{H(\beta X)} = H(\beta X).$$

Therefore we have

$$H(\beta X) \subset e_K(K).$$

Let  $h$  be the restriction of  $H$  to  $\beta X$ , and define  $\Phi : \beta X \rightarrow K$  by  $\Phi = e_K^{-1} \circ h$ , which makes sense because  $e_K : K \rightarrow e_K(K)$  is a homeomorphism and  $h$  takes values in  $e_K(K)$ .  $\Phi$  is continuous, and for  $x \in X$  we have, using (1),

$$(\Phi \circ e)(x) = (e_K^{-1} \circ h \circ e)(x) = (e_K^{-1} \circ H \circ e)(x) = \phi(x),$$

showing that  $\Phi \circ e = \phi$ .

If  $\Psi : \beta X \rightarrow K$  is a continuous function satisfying  $f = \Psi \circ e$ , let  $y \in e(X)$ . There is some  $x \in X$  such that  $y = e(x)$ , and  $f(x) = (\Psi \circ e)(x) = \Psi(y)$ ,  $f(x) = (\Phi \circ e)(x) = \Phi(y)$ , showing that for all  $y \in e(X)$ ,  $\Psi(y) = \Phi(y)$ . Since  $\Psi$  and  $\Phi$  are continuous and are equal on  $e(X)$ , which is a dense subset of  $\beta X$ , we get  $\Psi = \Phi$ , which completes the proof.  $\square$

If  $X$  is a Tychonoff space with Stone-Ćech compactification  $(\beta X, e)$ , then because  $\beta X$  is a compact space,  $C(\beta X)$  with the supremum norm is a Banach space. We show in the following that the extension in Theorem 9 produces an isometric isomorphism  $C_b(X) \rightarrow C(\beta X)$ .

**Theorem 10.** *If  $X$  is a Tychonoff space with Stone-Ćech compactification  $(\beta X, e)$ , then there is an isomorphism of Banach spaces  $C_b(X) \rightarrow C(\beta X)$ .*

*Proof.* Let  $f, g \in C_b(X)$ , let  $\alpha$  be a scalar, and let  $K = [-|\alpha| \|f\| - \|g\|, |\alpha| \|f\| + \|g\|]$ , which is a compact set. Define  $\phi = \alpha f + g$ , and then Theorem 9 tells us that there is a unique continuous function  $F : \beta X \rightarrow K$  such that  $f = F \circ e$ , a unique continuous function  $G : \beta X \rightarrow K$  such that  $g = G \circ e$ , and a unique continuous function  $\Phi : \beta X \rightarrow K$  such that  $\phi = \Phi \circ e$ . For  $y \in e(X)$  and  $x \in X$  such that  $y = e(x)$ ,

$$\Phi(y) = \phi(x) = \alpha f(x) + g(x) = \alpha F(y) + G(y).$$

Since  $\Phi$  and  $\alpha F + G$  are continuous functions  $\beta X \rightarrow K$  that are equal on the dense set  $e(X)$ , we get  $\Phi = \alpha F + G$ . Therefore, the map that sends  $f \in C_b(X)$  to the unique  $F \in C(\beta X)$  such that  $f = F \circ e$  is linear.

Let  $f \in C_b(X)$  and let  $F$  be the unique element of  $C(\beta X)$  such that  $f = F \circ e$ . For any  $x \in X$ ,  $|f(x)| = |(F \circ e)(x)|$ , so

$$\|f\|_\infty = \sup_{x \in X} |f(x)| = \sup_{x \in X} |(F \circ e)(x)| = \sup_{y \in e(X)} |F(y)|.$$

Because  $F$  is continuous and  $e(X)$  is dense in  $\beta X$ ,

$$\sup_{y \in e(X)} |F(y)| = \sup_{y \in \beta X} |F(y)| = \|F\|_\infty,$$



so  $\|f\|_\infty = \|F\|_\infty$ , showing that  $f \mapsto F$  is an isometry.

For  $\Phi \in C(\beta X)$ , define  $\phi = \Phi \circ e$ .  $\Phi$  is bounded so  $\phi$  is also, and  $\phi$  is a composition of continuous functions, hence  $\phi \in C_b(X)$ . Thus  $\phi \mapsto \Phi$  is onto, completing the proof.  $\square$

## 5 Spaces of continuous functions

If  $X$  is a topological space, we denote by  $C(X)$  the set of continuous functions  $X \rightarrow \mathbb{R}$ . For  $K$  a compact set in  $X$  (in particular a singleton) and  $f \in C(X)$ , define  $p_K(f) = \sup_{x \in K} |f(x)|$ . The collection of  $p_K$  for all compact subsets of  $X$  is a **separating family of seminorms**, because if  $f$  is nonzero there is some  $x \in X$  for which  $f(x) \neq 0$  and then  $p_{\{x\}}(f) > 0$ . Hence  $C(X)$  with the topology induced by this family of seminorms is a locally convex space. (If  $X$  is  $\sigma$ -**compact** then the seminorm topology is induced by countably many of the seminorms, and then  $C(X)$  is metrizable.) However, since we usually are not given that  $X$  is compact (in which case  $C(X)$  is normable with  $p_X$ ) and since it is often more convenient to work with normed spaces than with locally convex spaces, we shall talk about subsets of  $C(X)$ .

For  $X$  a topological space, we say that a function  $f : X \rightarrow \mathbb{R}$  **vanishes at infinity** if for each  $\epsilon > 0$  there is a compact set  $K$  such that  $|f(x)| < \epsilon$  whenever  $x \in X \setminus K$ , and we denote by  $C_0(X)$  the set of all continuous functions  $X \rightarrow \mathbb{R}$  that vanish at infinity.

The following theorem shows first that  $C_0(X)$  is contained in  $C_b(X)$ , second that  $C_0(X)$  is a linear space, and third that it is a closed subset of  $C_b(X)$ . With the supremum norm  $C_b(X)$  is a Banach space, so this shows that  $C_0(X)$  is a Banach subspace. We work through the proof in detail because it is often proved with unnecessary assumptions on the topological space  $X$ .

**Theorem 11.** *Suppose that  $X$  is a topological space. Then  $C_0(X)$  is a closed linear subspace of  $C_b(X)$ .*

*Proof.* If  $f \in C_0(X)$ , then there is a compact set  $K$  such that  $x \in X \setminus K$  implies that  $|f(x)| < 1$ . On the other hand, because  $f$  is continuous,  $f(K)$  is a compact subset of the scalar field and hence is bounded, i.e., there is some  $M \geq 0$  such that  $x \in K$  implies that  $|f(x)| \leq M$ . Therefore  $f$  is bounded, showing that  $C_0(X) \subset C_b(X)$ .

Let  $f, g \in C_0(X)$  and let  $\epsilon > 0$ . There is a compact set  $K_1$  such that  $x \in X \setminus K_1$  implies that  $|f(x)| < \frac{\epsilon}{2}$  and a compact set  $K_2$  such that  $x \in X \setminus K_2$  implies that  $|g(x)| < \frac{\epsilon}{2}$ . Let  $K = K_1 \cup K_2$ , which is a union of two compact sets hence is itself compact. If  $x \in X \setminus K$ , then  $x \in X \setminus K_1$  implying  $|f(x)| < \frac{\epsilon}{2}$  and  $x \in X \setminus K_2$  implying  $|g(x)| < \frac{\epsilon}{2}$ , hence  $|f(x) + g(x)| \leq |f(x)| + |g(x)| < \epsilon$ . This shows that  $f + g \in C_0(X)$ .

If  $f \in C_0(X)$  and  $\alpha$  is a nonzero scalar, let  $\epsilon > 0$ . There is a compact set  $K$  such that  $x \in X \setminus K$  implies that  $|f(x)| < \frac{\epsilon}{|\alpha|}$ , and hence  $|(\alpha f)(x)| = |\alpha||f(x)| < \epsilon$ , showing that  $\alpha f \in C_0(X)$ . Therefore  $C_0(X)$  is a linear subspace of  $C_b(X)$ .

Suppose that  $f_n$  is a sequence of elements of  $C_0(X)$  that converges to some  $f \in C_b(X)$ . For  $\epsilon > 0$ , there is some  $n_\epsilon$  such that  $n \geq n_\epsilon$  implies that  $\|f_n - f\|_\infty < \frac{\epsilon}{2}$ , that is,

$$\sup_{x \in X} |f_n(x) - f(x)| < \frac{\epsilon}{2}.$$

For each  $n$ , let  $K_n$  be a compact set in  $X$  such that  $x \in X \setminus K_n$  implies that  $|f_n(x)| < \frac{\epsilon}{2}$ ; there are such  $K_n$  because  $f_n \in C_0(X)$ . If  $x \in X \setminus K_{n_\epsilon}$ , then

$$|f(x)| \leq |f_{n_\epsilon}(x) - f(x)| + |f_{n_\epsilon}(x)| < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon,$$

showing that  $f \in C_0(X)$ . □

If  $X$  is a topological space and  $f : X \rightarrow \mathbb{R}$  is a function, the **support of  $f$**  is the set

$$\text{supp } f = \overline{\{x \in X : f(x) \neq 0\}}.$$

If  $\text{supp } f$  is compact we say that  $f$  has **compact support**, and we denote by  $C_c(X)$  the set of all continuous functions  $X \rightarrow \mathbb{R}$  with compact support.

Suppose that  $X$  is a topological space and let  $f \in C_c(X)$ . For any  $\epsilon > 0$ , if  $x \in X \setminus \text{supp } f$  then  $|f(x)| = 0 < \epsilon$ , showing that  $f \in C_0(X)$ . Therefore

$$C_c(X) \subset C_0(X),$$

and this makes no assumptions about the topology of  $X$ .

We can prove that if  $X$  is a locally compact Hausdorff space then  $C_c(X)$  is dense in  $C_0(X)$ .<sup>5</sup>

**Theorem 12.** *If  $X$  is a locally compact Hausdorff space, then  $C_c(X)$  is a dense subset of  $C_0(X)$ .*

*Proof.* Let  $f \in C_0(X)$ , and for each  $n \in \mathbb{N}$  define

$$C_n = \left\{ x \in X : |f(x)| \geq \frac{1}{n} \right\}.$$

For  $n \in \mathbb{N}$ , because  $f \in C_0(X)$  there is a compact set  $K_n$  such that  $x \in X \setminus K_n$  implies that  $|f(x)| < \frac{1}{n}$ , and hence  $C_n \subset K_n$ . Because  $x \mapsto |f_n(x)|$  is continuous,  $C_n$  is a closed set in  $X$ , and it follows that  $C_n$ , being contained in the compact set  $K_n$ , is compact. (This does not use that  $X$  is Hausdorff.)

Let  $n \in \mathbb{N}$ . Because  $X$  is a locally compact Hausdorff space and  $C_n$  is compact, Urysohn's lemma<sup>6</sup> tells us that there is a compact set  $D_n$  containing  $C_n$  and a continuous function  $g_n : X \rightarrow [0, 1]$  such that  $g_n(C_n) = \{1\}$  and  $g_n(X \setminus D_n) \subset \{0\}$ . That is,  $g_n \in C_c(X)$ ,  $0 \leq g_n \leq 1$ , and  $g_n(C_n) = \{1\}$ . Define

<sup>5</sup>Gerald B. Folland, *Real Analysis: Modern Techniques and Their Applications*, p. 132, Proposition 4.35.

<sup>6</sup>Gerald B. Folland, *Real Analysis: Modern Techniques and Their Applications*, p. 131, Lemma 4.32.

$f_n = g_n f \in C_c(X)$ . (A product of continuous functions is continuous, and because  $f$  is bounded and  $g_n$  has compact support,  $g_n f$  has compact support.) For  $x \in C_n$ ,  $f_n(x) - f(x) = (g_n(x) - 1)f(x) = 0$ , and for  $x \in X \setminus C_n$ ,  $|f_n(x) - f(x)| = |g_n(x) - 1||f(x)| \leq 1 \cdot \frac{1}{n}$ . Therefore

$$\|f_n - f\|_\infty \leq \frac{1}{n},$$

and hence  $f_n$  is a sequence in  $C_c(X)$  that converges to  $f$ , showing that  $C_c(X)$  is dense in  $C_0(X)$ .  $\square$

If  $X$  is a Hausdorff space, then we prove that  $C_c(X)$  is a linear subspace of  $C_0(X)$ . When  $X$  is a locally compact Hausdorff space then combined with the above this shows that  $C_c(X)$  is a dense linear subspace of  $C_0(X)$ .

**Lemma 13.** *Suppose that  $X$  is a Hausdorff space. Then  $C_c(X)$  is a linear subspace of  $C_0(X)$ .*

*Proof.* If  $f, g \in C_c(X)$  and  $\alpha$  is a scalar, let  $K = \text{supp } f \cup \text{supp } g$ , which is a union of two compact sets hence compact. If  $x \in X \setminus K$ , then  $f(x) = 0$  because  $x \notin \text{supp } f$  and  $g(x) = 0$  because  $x \notin \text{supp } g$ , so  $(\alpha f + g)(x) = 0$ . Therefore  $\{x \in X : (\alpha f + g)(x) \neq 0\} \subset K$  and hence  $\text{supp } (\alpha f + g) \subset \bar{K}$ . But as  $X$  is Hausdorff,  $K$  being compact implies that  $K$  is closed in  $X$ , so we get  $\text{supp } (\alpha f + g) \subset K$ . Because  $\text{supp } (\alpha f + g)$  is closed and is contained in the compact set  $K$ , it is itself compact, so  $\alpha f + g \in C_c(X)$ .  $\square$

Let  $X$  be a topological space, and for  $x \in X$  define  $\delta_x : C_b(X) \rightarrow \mathbb{R}$  by  $\delta_x(f) = f(x)$ . For each  $x \in X$ ,  $\delta_x$  is linear and  $|\delta_x(f)| = |f(x)| \leq \|f\|_\infty$ , so  $\delta_x$  is continuous and hence belongs to the dual space  $C_b(X)^*$ . Moreover, the constant function  $f(x) = 1$  shows that  $\|\delta_x\| = 1$ . We define  $\Delta : X \rightarrow C_b(X)^*$  by  $\Delta(x) = \delta_x$ . Suppose that  $x_i$  is a net in  $X$  that converges to some  $x \in X$ . Then for every  $f \in C_b(X)$  we have  $f(x_i) \rightarrow f(x)$ , and this means that  $\delta_{x_i}$  weak-\* converges to  $\delta_x$  in  $C_b(X)^*$ . This shows that with  $C_b(X)^*$  assigned the weak-\* topology,  $\Delta : X \rightarrow C_b(X)^*$  is continuous. We now characterize when  $\Delta$  is an embedding.<sup>7</sup>

**Theorem 14.** *Suppose that  $X$  is a topological space and assign  $C_b(X)^*$  the weak-\* topology. Then the map  $\Delta : X \rightarrow \Delta(X)$  is a homeomorphism if and only if  $X$  is Tychonoff, where  $\Delta(X)$  has the subspace topology inherited from  $C_b(X)^*$ .*

*Proof.* Suppose that  $X$  is Tychonoff. If  $x, y \in X$  are distinct, then there is some  $f \in C_b(X)$  such that  $f(x) = 0$  and  $f(y) = 1$ , and then  $\delta_x(f) = 0 \neq 1 = \delta_y(f)$ , so  $\Delta(x) \neq \Delta(y)$ , showing that  $\Delta$  is one-to-one. To show that  $\Delta : X \rightarrow \Delta(X)$  is a homeomorphism, it suffices to prove that  $\Delta$  is an open map, so let  $U$  be an open subset of  $X$ . For  $x_0 \in U$ , because  $X \setminus U$  is closed there is some  $f \in C_b(X)$  such that  $f(x_0) = 0$  and  $f(X \setminus U) = \{1\}$ . Let

$$V_1 = \{\mu \in C_b(X)^* : \mu(f) < 1\}.$$

<sup>7</sup>John B. Conway, *A Course in Functional Analysis*, second ed., p. 137, Proposition 6.1.

This is an open subset of  $C_b(X)^*$  as it is the inverse image of  $(-\infty, 1)$  under the map  $\mu \mapsto \mu(f)$ , which is continuous  $C_b(X)^* \rightarrow \mathbb{R}$  by definition of the weak-\* topology. Then

$$V = V_1 \cap \Delta(X) = \{\delta_x : f(x) < 1\}$$

is an open subset of the subspace  $\Delta(X)$ , and we have both  $\delta_{x_0} \in V$  and  $V \subset \Delta(U)$ . This shows that for any element  $\delta_{x_0}$  of  $\Delta(U)$ , there is some open set  $V$  in the subspace  $\Delta(X)$  such that  $\delta_{x_0} \in V \subset \Delta(U)$ , which tells us that  $\Delta(U)$  is an open set in the subspace  $\Delta(U)$ , showing that  $\Delta$  is an open map and therefore a homeomorphism.

Suppose that  $\Delta : X \rightarrow \Delta(X)$  is a homeomorphism. By the Banach-Alaoglu theorem we know that the closed unit ball  $B_1$  in  $C_b(X)^*$  is compact. (We remind ourselves that we have assigned  $C_b(X)^*$  the weak-\* topology.) That is, with the subspace topology inherited from  $C_b(X)^*$ ,  $B_1$  is a compact space. It is Hausdorff because  $C_b(X)^*$  is Hausdorff, and a compact Hausdorff space is Tychonoff. But  $\Delta(X)$  is contained in the surface of  $B_1$ , in particular  $\Delta(X)$  is contained in  $B_1$  and hence is itself Tychonoff with the subspace topology inherited from  $B_1$ , which is equal to the subspace topology inherited from  $C_b(X)^*$ . Since  $\Delta : X \rightarrow \Delta(X)$  is a homeomorphism, we get that  $X$  is a Tychonoff space, completing the proof.  $\square$

The following result shows when the Banach space  $C_b(X)$  is separable.<sup>8</sup>

**Theorem 15.** *Suppose that  $X$  is a Tychonoff space. Then the Banach space  $C_b(X)$  is separable if and only if  $X$  is compact and metrizable.*

*Proof.* Assume that  $X$  is compact and metrizable, with a compatible metric  $d$ . For each  $n \in \mathbb{N}$  there are open balls  $U_{n,1}, \dots, U_{n,N_n}$  of radius  $\frac{1}{n}$  that cover  $X$ . As  $X$  is metrizable it is normal, so there is a **partition of unity subordinate to the cover**  $\{U_{n,k} : 1 \leq k \leq N_n\}$ .<sup>9</sup> That is, there are continuous functions  $f_{n,1}, \dots, f_{n,N_n} : X \rightarrow [0, 1]$  such that  $\sum_{k=1}^{N_n} f_{n,k} = 1$  and such that  $x \in X \setminus U_{n,k}$  implies that  $f_{n,k}(x) = 0$ . Then  $\{f_{n,k} : n \in \mathbb{N}, 1 \leq k \leq N_n\}$  is countable, so its span  $D$  over  $\mathbb{Q}$  is also countable. We shall prove that  $D$  is dense in  $C(X) = C_b(X)$ , which will show that  $C_b(X)$  is separable.

Let  $f \in C(X)$  and let  $\epsilon > 0$ . Because  $(X, d)$  is a compact metric space,  $f$  is uniformly continuous, so there is some  $\delta > 0$  such that  $d(x, y) < \delta$  implies that  $|f(x) - f(y)| < \frac{\epsilon}{2}$ . Let  $n \in \mathbb{N}$  be  $> \frac{2}{\delta}$ , and for each  $1 \leq k \leq N_n$  let  $x_k \in U_{n,k}$ . For each  $k$  there is some  $\alpha_k \in \mathbb{Q}$  such that  $|\alpha_k - f(x_k)| < \frac{\epsilon}{2}$ , and we define

$$g = \sum_{k=1}^{N_n} \alpha_k f_{n,k} \in D.$$

Because  $\sum_{k=1}^{N_n} f_{n,k} = 1$  we have  $f = \sum_{k=1}^{N_n} f f_{n,k}$ . Let  $x \in X$ , and then

$$|f(x) - g(x)| = \left| \sum_{k=1}^{N_n} (f(x) - \alpha_k) f_{n,k}(x) \right| \leq \sum_{k=1}^{N_n} |f(x) - \alpha_k| f_{n,k}(x).$$

<sup>8</sup>John B. Conway, *A Course in Functional Analysis*, second ed., p. 140, Theorem 6.6.

<sup>9</sup>John B. Conway, *A Course in Functional Analysis*, second ed., p. 139, Theorem 6.5.

For each  $1 \leq k \leq N_n$ , either  $x \in U_{n,k}$  or  $x \notin U_{n,k}$ . In the first case, since  $x$  and  $x_k$  are then in the same open ball of radius  $\frac{1}{n}$ ,  $d(x, x_k) < \frac{2}{n} < \delta$ , so

$$|f(x) - \alpha_k| \leq |f(x) - f(x_k)| + |f(x_k) - \alpha_k| < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon.$$

In the second case,  $f_{n,k}(x) = 0$ . Therefore,

$$\sum_{k=1}^{N_n} |f(x) - \alpha_k| f_{n,k}(x) \leq \sum_{k=1}^{N_n} \epsilon f_{n,k}(x) = \epsilon,$$

showing that  $|f(x) - g(x)| \leq \epsilon$ . This shows that  $D$  is dense in  $C(X)$ , and therefore that  $C_b(X) = C(X)$  is separable.

Suppose that  $C_b(X)$  is separable. Because  $X$  is Tychonoff, by Theorem 10 there is an isometric isomorphism between the Banach spaces  $C_b(X)$  and  $C(\beta X)$ , where  $(\beta X, e)$  is the Stone-Ćech compactification of  $X$ . Hence  $C(\beta X)$  is separable. But it is a fact that a compact Hausdorff space  $Y$  is metrizable if and only if the Banach space  $C(Y)$  is separable.<sup>10</sup> (This is proved using the Stone-Weierstrass theorem.) As  $\beta X$  is a compact Hausdorff space and  $C(\beta X)$  is separable, we thus get that  $\beta X$  is metrizable.

It is a fact that if  $Y$  is a Banach space and  $B_1$  is the closed unit ball in the dual space  $Y^*$ , then  $B_1$  with the subspace topology inherited from  $Y^*$  with the weak-\* topology is metrizable if and only if  $Y$  is separable.<sup>11</sup> Thus, the closed unit ball  $B_1$  in  $C_b(X)^*$  is metrizable. Theorem 14 tells us there is an embedding  $\Delta : X \rightarrow B_1$ , and  $B_1$  being metrizable implies that  $\Delta(X)$  is metrizable. As  $\Delta : X \rightarrow \Delta(X)$  is a homeomorphism, we get that  $X$  is metrizable.

Because  $\beta X$  is compact and metrizable, to prove that  $X$  is compact and metrizable it suffices to prove that  $\beta X \setminus e(X) = \emptyset$ , so we suppose by contradiction that there is some  $\tau \in \beta X \setminus e(X)$ .  $e(X)$  is dense in  $\beta X$ , so there is a sequence  $x_n \in X$ , for which we take  $x_n \neq x_m$  when  $n \neq m$ , such that  $e(x_n) \rightarrow \tau$ . If  $x_n$  had a subsequence  $x_{a(n)}$  that converged to some  $y \in X$ , then  $e(x_{a(n)}) \rightarrow e(y)$  and hence  $e(y) = \tau$ , a contradiction. Therefore the sequence  $x_n$  has no limit points, so the sets  $A = \{x_n : n \text{ odd}\}$  and  $B = \{x_n : n \text{ even}\}$  are closed and disjoint. Because  $X$  is metrizable it is normal, hence by Urysohn's lemma there is a continuous function  $\phi : X \rightarrow [0, 1]$  such that  $\phi(a) = 0$  for all  $a \in A$  and  $\phi(b) = 1$  for all  $b \in B$ . Then, by Theorem 9 there is a unique continuous  $\Phi : X \rightarrow [0, 1]$  such that  $\phi = \Phi \circ e$ . Then we have, because a subsequence of a

<sup>10</sup>Charalambos D. Aliprantis and Kim C. Border, *Infinite Dimensional Analysis: A Hitchhiker's Guide*, third ed., p. 353, Theorem 9.14.

<sup>11</sup>John B. Conway, *A Course in Functional Analysis*, second ed., p. 134, Theorem 5.1.

convergent sequence has the same limit,

$$\begin{aligned}
\Phi(\tau) &= \Phi\left(\lim_{n \rightarrow \infty} e(x_n)\right) \\
&= \Phi\left(\lim_{n \rightarrow \infty} e(x_{2n+1})\right) \\
&= \lim_{n \rightarrow \infty} (\Phi \circ e)(x_{2n+1}) \\
&= \lim_{n \rightarrow \infty} \phi(x_{2n+1}) \\
&= 0,
\end{aligned}$$

and likewise

$$\Phi(\tau) = \lim_{n \rightarrow \infty} \phi(x_{2n}) = 1,$$

a contradiction. This shows that  $\beta X \setminus e(X) = \emptyset$ , which completes the proof.  $\square$

## 6 $C^*$ -algebras and the Gelfand transform

A  $C^*$ -**algebra** is a complex Banach algebra  $A$  with a map  $*$  :  $A \rightarrow A$  such that

1.  $a^{**} = a$  for all  $a \in A$  (namely,  $*$  is an **involution**),
2.  $(a + b)^* = a^* + b^*$  and  $(ab)^* = b^*a^*$  for all  $a \in A$ ,
3.  $(\lambda a)^* = \bar{\lambda}a^*$  for all  $a \in A$  and  $\lambda \in \mathbb{C}$ ,
4.  $\|a^*a\| = \|a\|^2$  for all  $a \in A$ .

We do not require that a  $C^*$ -algebra be unital. If  $a = 0$  then  $\|a^*\| = \|0\| = \|a\|$ . Otherwise,

$$\|a\|^2 = \|a^*a\| \leq \|a^*\| \|a\|$$

gives  $\|a\| \leq \|a^*\|$  and

$$\|a^*\|^2 = \|a^{**}a^*\| = \|aa^*\| \leq \|a\| \|a^*\|$$

gives  $\|a^*\| \leq \|a\|$ , showing that  $*$  is an isometry.

We now take  $C_b(X)$  to denote  $C_b(X, \mathbb{C})$  rather than  $C_b(X, \mathbb{R})$ , and likewise for  $C(X)$ ,  $C_0(X)$ , and  $C_c(X)$ . It is routine to verify that everything we have asserted about these spaces when the codomain is  $\mathbb{R}$  is true when the codomain is  $\mathbb{C}$ , but this is not obvious. In particular,  $C_b(X)$  is a Banach space with the supremum norm and  $C_0(X)$  is a closed linear subspace, whatever the topological space  $X$ . It is then straightforward to check that with the involution  $f^* = \bar{f}$  they are commutative  $C^*$ -algebras.

A **homomorphism of  $C^*$ -algebras** is an algebra homomorphism  $f : A \rightarrow B$ , where  $A$  and  $B$  are  $C^*$ -algebras, such that  $f(a^*) = f(a)^*$  for all  $a \in A$ . It can be proved that  $\|f\| \leq 1$ .<sup>12</sup> We define an **isomorphism of  $C^*$ -algebras** to

<sup>12</sup>José M. Gracia-Bondía, Joseph C. Várilly and Héctor Figueroa, *Elements of Noncommutative Geometry*, p. 29, Lemma 1.16.

be an algebra isomorphism  $f : A \rightarrow B$  such that  $f(a^*) = f(a)^*$  for all  $a \in A$ . It follows that  $\|f\| \leq 1$  and because  $f$  is bijective, the inverse  $f^{-1}$  is a  $C^*$ -algebra homomorphism, giving  $\|f^{-1}\| \leq 1$  and therefore  $\|f\| = 1$ . Thus, an isomorphism of  $C^*$ -algebras is an isometric isomorphism.

Suppose that  $A$  is a commutative  $C^*$ -algebra, which we do not assume to be unital. A **character of  $A$**  is a nonzero algebra homomorphism  $A \rightarrow \mathbb{C}$ . We denote the set of characters of  $A$  by  $\sigma(A)$ , which we call the **Gelfand spectrum of  $A$** . We make some assertions in the following text that are proved in Folland.<sup>13</sup> It is a fact that for every  $h \in \sigma(A)$ ,  $\|h\| \leq 1$ , so  $\sigma(A)$  is contained in the closed unit ball of  $A^*$ , where  $A^*$  denotes the dual of the Banach space  $A$ . Furthermore, one can prove that  $\sigma(A) \cup \{0\}$  is a weak- $*$  closed set in  $A^*$ , and hence is weak- $*$  compact because it is contained in the closed unit ball which we know to be weak- $*$  compact by the Banach-Alaoglu theorem. We assign  $\sigma(A)$  the subspace topology inherited from  $A^*$  with the weak- $*$  topology. Depending on whether  $0$  is or is not an isolated point in  $\sigma(A) \cup \{0\}$ ,  $\sigma(A)$  is a compact or a locally compact Hausdorff space; in any case  $\sigma(A)$  is a locally compact Hausdorff space.

The **Gelfand transform** is the map  $\Gamma : A \rightarrow C_0(\sigma(A))$  defined by  $\Gamma(a)(h) = h(a)$ ; that  $\Gamma(a)$  is continuous follows from  $\sigma(A)$  having the weak- $*$  topology, and one proves that in fact  $\Gamma(a) \in C_0(\sigma(A))$ .<sup>14</sup> The **Gelfand-Naimark theorem**<sup>15</sup> states that  $\Gamma : A \rightarrow C_0(\sigma(A))$  is an isomorphism of  $C^*$ -algebras.

It can be proved that two commutative  $C^*$ -algebras are isomorphic as  $C^*$ -algebras if and only if their Gelfand spectra are homeomorphic.<sup>16</sup>

## 7 Multiplier algebras

An **ideal of a  $C^*$ -algebra  $A$**  is a closed linear subspace  $I$  of  $A$  such that  $IA \subset I$  and  $AI \subset I$ . An ideal  $I$  is said to be **essential** if  $I \cap J \neq \{0\}$  for every nonzero ideal  $J$  of  $A$ . In particular,  $A$  is itself an essential ideal.

Suppose that  $A$  is a  $C^*$ -algebra. The **multiplier algebra of  $A$** , denoted  $M(A)$ , is a  $C^*$ -algebra containing  $A$  as an essential ideal such that if  $B$  is a  $C^*$ -algebra containing  $A$  as an essential ideal then there is a unique homomorphism of  $C^*$ -algebras  $\pi : B \rightarrow M(A)$  whose restriction to  $A$  is the identity. We have not shown that there is a multiplier algebra of  $A$ , but we shall now prove that this definition is a **universal property**: that any  $C^*$ -algebra satisfying the definition is isomorphic as a  $C^*$ -algebra to  $M(A)$ , which allows us to talk about “the” multiplier algebra rather than “a” multiplier algebra.

Suppose that  $C$  is a  $C^*$ -algebra containing  $A$  as an essential ideal such that if  $B$  is a  $C^*$ -algebra containing  $A$  as an essential ideal then there is a unique  $C^*$ -algebra homomorphism  $\pi : B \rightarrow C$  whose restriction to  $A$  is the identity.

<sup>13</sup>Gerald B. Folland, *A Course in Abstract Harmonic Analysis*, p. 12, §1.3.

<sup>14</sup>Gerald B. Folland, *A Course in Abstract Harmonic Analysis*, p. 15.

<sup>15</sup>Gerald B. Folland, *A Course in Abstract Harmonic Analysis*, p. 16, Theorem 1.31.

<sup>16</sup>José M. Gracia-Bondía, Joseph C. Várilly and Héctor Figueroa, *Elements of Noncommutative Geometry*, p. 11, Proposition 1.5.

Hence there is a unique homomorphism of  $C^*$ -algebras  $\pi_1 : C \rightarrow M(A)$  whose restriction to  $A$  is the identity, and there is a unique homomorphism of  $C^*$ -algebras  $\pi_2 : M(A) \rightarrow C$  whose restriction to  $A$  is the identity. Then  $\pi_2 \circ \pi_1 : C \rightarrow C$  and  $\pi_1 \circ \pi_2 : M(A) \rightarrow M(A)$  are homomorphisms of  $C^*$ -algebras whose restrictions to  $A$  are the identity. But the identity maps  $\text{id}_C : C \rightarrow C$  and  $\text{id}_{M(A)} : M(A) \rightarrow M(A)$  are also homomorphisms of  $C^*$ -algebras whose restrictions to  $A$  are the identity. Therefore, by uniqueness we get that  $\pi_2 \circ \pi_1 = \text{id}_C$  and  $\pi_1 \circ \pi_2 = \text{id}_{M(A)}$ . Therefore  $\pi_1 : C \rightarrow M(A)$  is an isomorphism of  $C^*$ -algebras.

One can prove that if  $A$  is unital then  $M(A) = A$ .<sup>17</sup> It can be proved that for any  $C^*$ -algebra  $A$ , the multiplier algebra  $M(A)$  is unital.<sup>18</sup> For a locally compact Hausdorff space  $X$ , it can be proved that  $M(C_0(X)) = C_b(X)$ .<sup>19</sup> This last assertion is the reason for my interest in multiplier algebras. We have seen that if  $X$  is a locally compact Hausdorff space then  $C_c(X)$  is a dense linear subspace of  $C_0(X)$ , and for any topological space  $C_0(X)$  is a closed linear subspace of  $C_b(X)$ , but before talking about multiplier algebras we did not have a tight fit between the  $C^*$ -algebras  $C_0(X)$  and  $C_b(X)$ .

## 8 Riesz representation theorem for compact Hausdorff spaces

There is a proof due to D. J. H. Garling of the Riesz representation theorem for compact Hausdorff spaces that uses the Stone-Ćech compactification of discrete topological spaces. This proof is presented in Carothers' book.<sup>20</sup>

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<sup>17</sup>Paul Skoufranis, *An Introduction to Multiplier Algebras*, <http://www.math.ucla.edu/~pskoufra/0ANotes-MultiplierAlgebras.pdf>, p. 4, Lemma 1.9.

<sup>18</sup>Paul Skoufranis, *An Introduction to Multiplier Algebras*, <http://www.math.ucla.edu/~pskoufra/0ANotes-MultiplierAlgebras.pdf>, p. 9, Corollary 2.8.

<sup>19</sup>Eberhard Kaniuth, *A Course in Commutative Banach Algebras*, p. 29, Example 1.4.13; José M. Gracia-Bondía, Joseph C. Várilly and Héctor Figueroa, *Elements of Noncommutative Geometry*, p. 14, Proposition 1.10.

<sup>20</sup>N. L. Carothers, *A Short Course on Banach Space Theory*, Chapter 16, pp. 156–165.