

# The infinite-dimensional torus

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## 1 Locally compact abelian groups

Let  $\mathbb{N}$  denote the positive integers.

If  $G_i$ ,  $i \in I$ , are compact abelian groups, we define their **direct product** to be the cartesian product

$$\prod_{i \in I} G_i$$

with the coarsest topology such that the projection maps  $\pi_i : \prod_{j \in I} G_j \rightarrow G_i$  are continuous (namely the product topology), with which the direct product is a compact abelian group. We write

$$G^\omega = \prod_{\mathbb{N}} G.$$

We shall be interested especially in the compact abelian group  $\mathbb{T} = S^1$ , and we call  $\mathbb{T}^\omega$  the **infinite-dimensional torus**.

If  $\Gamma_i$ ,  $i \in I$ , are discrete abelian groups, their **direct sum**, denoted by

$$\bigoplus_{i \in I} \Gamma_i,$$

consists of those elements  $x$  of the cartesian product  $\prod_{i \in I} \Gamma_i$  such that the set  $\{i \in I : \pi_i(x) \neq 0\}$  is finite. Let  $p_i : \bigoplus_{j \in I} \Gamma_j \rightarrow \Gamma_i$  be the restriction of  $\pi_i$  to  $\bigoplus_{j \in I} \Gamma_j$ . We give the direct sum the finest topology such that the inclusion maps  $q_i : \Gamma_i \rightarrow \bigoplus_{j \in I} \Gamma_j$ , defined by

$$(p_j \circ q_i)(x) = \begin{cases} x & j = i \\ 0 & j \neq i \end{cases}, \quad x \in \Gamma_i,$$

are continuous. With this topology, the direct sum is a discrete abelian group. We write

$$\Gamma^\infty = \bigoplus_{\mathbb{N}} \Gamma.$$

We shall be interested especially in the discrete abelian group  $\mathbb{Z}$ , and in the infinite direct sum  $\mathbb{Z}^\infty$ . (I don't know how significant an object it is, but I mention that the abelian group  $\prod_{\mathbb{N}} \mathbb{Z}$  is called the Baer-Specker group.)

When speaking about 0 or 1 in a locally compact abelian group, it is unambiguous that this symbol denotes the identity element of the group, because there is only one distinguished element in a locally compact abelian group. Often we denote the identity element of a compact abelian group by 1 and the identity element of a discrete abelian group by 0.

If  $G_1, \dots, G_n$  are locally compact abelian groups, it is straightforward to check that the cartesian product

$$\prod_{k=1}^n G_k$$

with the product topology is a locally compact abelian group. We call this both the direct product and the direct sum and write

$$G_1 \oplus \dots \oplus G_n = \bigoplus_{k=1}^n G_k = \prod_{k=1}^n G_k = G_1 \times \dots \times G_n.$$

## 2 Dual groups

If  $G$  is a locally compact abelian group, denote by  $\widehat{G}$  its **dual group**, that is, the set of continuous group homomorphisms  $G \rightarrow S^1$ . For  $g \in G$  and  $\phi \in \widehat{G}$  we write

$$\langle x, \phi \rangle = \phi(x).$$

$\widehat{G}$  has the initial topology induced by  $\{\phi \mapsto \langle x, \phi \rangle : x \in G\}$ , with which it is a locally compact abelian group. If  $G$  is compact then  $\widehat{G}$  is discrete, and if  $G$  is discrete then  $\widehat{G}$  is compact.

**Theorem 1.** *Suppose that  $G_1, \dots, G_n$  are locally compact abelian groups. Then the dual group of  $G_1 \oplus \dots \oplus G_n$  is isomorphic as a topological group to  $\widehat{G}_1 \oplus \dots \oplus \widehat{G}_n$ .*

We prove in the following theorem that for discrete abelian groups, the dual group of a direct sum is the direct product of the dual groups.<sup>1</sup> In particular, this shows that the dual group of  $\mathbb{Z}^\infty$  is  $\mathbb{T}^\omega$ . Then by the **Pontryagin duality theorem**<sup>2</sup> we get that the dual group of  $\mathbb{T}^\omega$  is  $\mathbb{Z}^\infty$ .

**Theorem 2.** *Suppose that  $\Gamma_i, i \in I$ , are discrete abelian groups and let*

$$\Gamma = \bigoplus_{i \in I} \Gamma_i, \quad G = \prod_{i \in I} \widehat{\Gamma}_i.$$

*Then  $\Phi : G \rightarrow \widehat{\Gamma}$ , defined by*

$$(\Phi g)(\gamma) = \prod_{i \in I} \langle p_i(\gamma), \pi_i(g) \rangle, \quad g \in G, \gamma \in \Gamma,$$

<sup>1</sup>Karl H. Hofmann and Sidney A. Morris, *The Structure of Compact Groups*, second ed., p. 12, Proposition 1.17. Cf. Walter Rudin, *Fourier Analysis on Groups*, p. 37, §2.2.3.

<sup>2</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 28, Theorem 1.7.2.

is an isomorphism of topological groups. Here,  $\pi_i : G \rightarrow \widehat{\Gamma}_i$  and  $p_i : \Gamma \rightarrow \Gamma_i$  are the projection maps.

*Proof.* The definition of  $(\Phi g)(\gamma)$  makes sense because  $\{i \in I : p_i(\gamma) \neq 0\}$  is finite and hence  $\{i \in I : \langle p_i(\gamma), \pi_i(g) \rangle \neq 1\}$  is finite. For  $g, h \in G$  and  $\gamma \in \Gamma$ ,

$$\begin{aligned} (\Phi(gh))(\gamma) &= \prod_{i \in I} \langle p_i(\gamma), \pi_i(gh) \rangle \\ &= \prod_{i \in I} \langle p_i(\gamma), \pi_i(g) \rangle \langle p_i(\gamma), \pi_i(h) \rangle \\ &= (\Phi g)(\gamma) (\Phi h)(\gamma) \\ &= ((\Phi g)(\Phi h))(\gamma), \end{aligned}$$

showing that  $\Phi(gh) = \Phi(g)\Phi(h)$  and hence that  $\Phi$  is a homomorphism. Suppose that  $g \in \ker \Phi$ . For each  $i \in I$  and each  $\gamma \in \Gamma_i$ ,

$$((\Phi g) \circ q_i)(\gamma) = (\Phi g)(q_i(\gamma)) = 1,$$

where  $q_i : \Gamma_i \rightarrow$

*Gamma* is the inclusion map. This is true for all  $\gamma \in \Gamma_i$ , so  $(\Phi g) \circ q_i$  is the identity element of  $\widehat{\Gamma}_i$ . And this is true for all  $i \in I$ , so  $\Phi g$  is the identity element of  $G$ . Therefore  $\Phi$  is one-to-one. Suppose that  $\alpha \in \widehat{\Gamma}$ . Define  $g \in G$  as follows: for each  $i \in I$ , take  $\pi_i(g) = \alpha \circ q_i \in \widehat{\Gamma}_i$ . Then  $g$  satisfies  $\Phi g = \alpha$ , hence  $\Phi$  is onto and is therefore a group isomorphism.

A continuous bijection from a compact topological space to a Hausdorff space is a homeomorphism, so to prove that  $\Phi$  is a homeomorphism it suffices to prove that  $\Phi$  is continuous.  $\widehat{\Gamma}$  has the initial topology induced by  $\{\alpha \mapsto \langle \gamma, \alpha \rangle : \gamma \in \Gamma\}$ , which are maps  $\widehat{\Gamma} \rightarrow S^1$ , so by the **universal property** of the initial topology, to prove that  $\Phi$  is continuous it suffices to prove that for each  $\gamma \in \Gamma$ ,

$$g \mapsto \langle \gamma, \Phi g \rangle$$

is continuous  $G \rightarrow S^1$ . For  $\gamma \in \Gamma$ , let  $J_\gamma = \{i \in I : p_i(\gamma) \neq 0\}$ , which is a finite set. For each  $i \in J_\gamma$ , it is straightforward to check that the map  $g \mapsto \langle p_i(\gamma), \pi_i(g) \rangle$  is continuous  $G \rightarrow S^1$ . Hence the map

$$g \mapsto (\Phi g)(\gamma) = \prod_{i \in J_\gamma} \langle p_i(\gamma), \pi_i(g) \rangle$$

is continuous  $G \rightarrow S^1$ , being a product of finitely many continuous functions  $G \rightarrow S^1$ , and this completes the proof.  $\square$

Let  $G$  be a locally compact abelian group. If  $\Gamma_0$  is a finite subset of  $\widehat{G}$  and  $a_\gamma \in \mathbb{C}$  for each  $\gamma \in \Gamma_0$ , we call the function  $G \rightarrow \mathbb{C}$  defined by

$$x \mapsto \sum_{\gamma \in \Gamma_0} a_\gamma \langle x, \gamma \rangle$$

a **trigonometric polynomial** on  $G$ . Suppose that  $G$  is a compact abelian group. Its dual group  $\widehat{G}$  separates points in  $G$ ; this is not immediate and is proved using the inversion theorem for the Fourier transform.<sup>3</sup> The set of trigonometric polynomials on  $G$  is a self-adjoint algebra that contains the constant functions, so the Stone-Weierstrass theorem then tells us that it is dense in the Banach algebra  $C(G)$ . Because  $\mathbb{C}$  is separable, it follows that if  $\widehat{G}$  is countable then  $C(G)$  is separable. In particular, any closed subgroup  $H$  of  $\mathbb{T}^\omega$  is a compact abelian group whose dual group one checks to be countable, so  $C(H)$  is separable.

A compact Hausdorff space  $X$  is metrizable if and only if the Banach algebra  $C(X)$  is separable.<sup>4</sup> We established in the previous paragraph that if  $G$  is a compact abelian group with countable dual group then the trigonometric polynomials are dense in the Banach algebra  $C(G)$ . Therefore, every compact abelian group with countable dual group is metrizable. In particular,  $\mathbb{T}^\omega$  and all its closed subgroups are metrizable. In fact, it is proved in Rudin that for a compact abelian group, (i) being metrizable, (ii) having a countable dual group, and (iii) being isomorphic as a topological group to a closed subgroup of  $\mathbb{T}^\omega$  are equivalent.<sup>5</sup>

### 3 $\mathbb{T}^\omega$ and $\mathbb{Z}^\omega$

Let  $\pi_n : \mathbb{T}^\omega \rightarrow S^1$  and  $p_n : \mathbb{Z}^\omega \rightarrow \mathbb{Z}$  be the projection maps and let  $q_n : \mathbb{Z} \rightarrow \mathbb{Z}^\omega$  be the inclusion map.

For  $x \in \mathbb{T}^\omega$  and  $\gamma \in \mathbb{Z}^\omega$ ,

$$\langle x, \gamma \rangle = \prod_{n \in \mathbb{N}} \langle \pi_n(x), p_n(\gamma) \rangle = \prod_{n \in \mathbb{N}} \pi_n(x)^{p_n(\gamma)},$$

where for each  $n$ ,  $\pi_n(x) \in S^1$  and  $p_n(\gamma) \in \mathbb{Z}$ .

Let  $m$  be the Haar measure on  $\mathbb{T}^\omega$  such that  $m(\mathbb{T}^\omega) = 1$ . Because the dual group of  $\mathbb{T}^\omega$  is  $\mathbb{Z}^\omega$ , for any  $f \in L^1(m)$  the Fourier transform of  $f$  is the function  $\hat{f} \in C_0(\mathbb{Z}^\omega)$  defined by

$$\hat{f}(\gamma) = \int_{\mathbb{T}^\omega} f(x) \langle -x, \gamma \rangle dm(x) = \int_{\mathbb{T}^\omega} f(x) \prod_{n \in \mathbb{N}} \pi_n(x)^{-p_n(\gamma)} dm(x), \quad \gamma \in \mathbb{Z}^\omega.$$

### 4 Kronecker sets

Suppose that  $G$  is a locally compact abelian group and that  $E$  is a subset of  $G$ , which we give the subspace topology.  $E$  is called a **Kronecker set** if for every

<sup>3</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 24, §1.5.2.

<sup>4</sup>Charalambos D. Aliprantis and Kim C. Border, *Infinite Dimensional Analysis: A Hitchhiker's Guide*, third ed., p. 353, Theorem 9.14.

<sup>5</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 38, §2.2.6.

continuous  $f : E \rightarrow S^1$  and every  $\epsilon > 0$ , there is some  $\gamma \in \widehat{G}$  such that

$$\sup_{x \in E} |f(x) - \langle x, \gamma \rangle| < \epsilon.$$

We first prove the following lemma from Rudin.<sup>6</sup>

**Lemma 3.** *If  $0 < \alpha < \beta < 1$ , then the set of polynomials with integer coefficients and 0 constant term is dense in the real Banach algebra  $C([\alpha, \beta])$  of continuous functions  $[\alpha, \beta] \rightarrow \mathbb{R}$ .*

*Proof.* Let  $R$  be the closure in  $C([\alpha, \beta])$  of the set of polynomials with integer coefficients and 0 constant term. Because  $x \in R$ ,  $R$  separates points in  $[\alpha, \beta]$  and for every  $a \in [\alpha, \beta]$  there is some  $f \in R$  such that  $f(a) \neq 0$ . It is straightforward to check that  $R$  is closed under addition and multiplication. If we show that  $\mathbb{R} \subset R$ , it will follow that  $R$  is an algebra over  $\mathbb{R}$ , and then by the Stone-Weierstrass theorem we will get that  $R$  is dense in  $C([\alpha, \beta])$ , and hence equal to  $C([\alpha, \beta])$  as  $R$  is closed.

Let  $c \in \mathbb{R}$ , let  $p$  be prime, and define

$$S_p(x) = \frac{1 - x^p - (1 - x)^p}{p}, \quad x \in [\alpha, \beta].$$

Using that  $p$  is prime, by the binomial theorem it follows that  $S_p$  is a polynomial with integer coefficients and 0 constant term. Partitioning  $\mathbb{R}$  into intervals of length  $p$ ,  $c$  lies in one of these intervals and hence there is some integer  $q_p$  such that  $\left|c - \frac{q_p}{p}\right| < \frac{1}{p}$ . For  $x \in [\alpha, \beta]$ ,

$$\begin{aligned} |q_p S_p(x) - c| &\leq \left|c - \frac{q_p}{p}\right| + \frac{|q_p|}{p} (\beta^p + (1 - \alpha)^p) \\ &< \frac{1}{p} + \left(|c| + \frac{1}{p}\right) (\beta^p + (1 - \alpha)^p). \end{aligned}$$

Hence  $\|q_p S_p - c\|_\infty \rightarrow 0$  as  $p \rightarrow \infty$ .  $q_p$  is an integer so for each  $p$ ,  $q_p S_p$  is a polynomial with integer coefficients and 0 constant term, so this shows that  $c \in R$ , completing the proof.  $\square$

An **arc** in a topological space is a homeomorphic image of a compact subset of  $\mathbb{R}$  of nonzero length. The following theorem shows that there is an arc in  $\mathbb{T}^\omega$  that is a Kronecker set.<sup>7</sup>

**Theorem 4.**  $\mathbb{T}^\omega$  contains an arc that is a Kronecker set.

*Proof.* Let  $0 < \alpha < \beta < 1$ , define  $x : [\alpha, \beta] \rightarrow \mathbb{T}^\omega$  by

$$(\pi_n \circ x)(t) = \exp(2\pi i t^n), \quad t \in [\alpha, \beta], \quad n \in \mathbb{N},$$

<sup>6</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 104, Lemma 5.2.8.

<sup>7</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 103, Theorem 5.2.7.

and let  $L$  be the image of  $[\alpha, \beta]$  under  $x$ . Assign  $L$  the subspace topology inherited from  $\mathbb{T}^\omega$ , and suppose that  $f : L \rightarrow S^1$  is continuous. One proves that there is a continuous function  $h : [\alpha, \beta] \rightarrow \mathbb{R}$  that satisfies

$$(f \circ x)(t) = \exp(2\pi i h(t)), \quad \alpha \leq t \leq \beta.$$

Let  $\epsilon > 0$ , and by Lemma 3, let  $S_m(x) = \sum_{j=1}^m a_j x^j$  be a polynomial with integer coefficients such that  $\|S_m - h\|_\infty < \epsilon$ . Define  $\gamma \in \mathbb{Z}^\infty$  by  $p_j(\gamma) = a_j$  for  $1 \leq j \leq m$  and  $p_j(\gamma) = 0$  otherwise. For  $t \in [\alpha, \beta]$ ,

$$\begin{aligned} |f(x(t)) - \langle x(t), \gamma \rangle| &= \left| \exp(2\pi i h(t)) - \prod_{n \in \mathbb{N}} \langle \pi_n(x(t)), p_n(\gamma) \rangle \right| \\ &= \left| \exp(2\pi i h(t)) - \prod_{n=1}^m \langle \pi_n(x(t)), a_n \rangle \right| \\ &= \left| \exp(2\pi i h(t)) - \prod_{n=1}^m \exp(2\pi i a_n t^n) \right| \\ &= \left| \exp(2\pi i h(t)) - \exp\left(\sum_{n=1}^m 2\pi i a_n t^n\right) \right| \\ &\leq \left| 2\pi h(t) - \sum_{n=1}^m 2\pi a_n t^n \right| \\ &= 2\pi |h(t) - S_m(t)| \\ &< 2\pi \epsilon, \end{aligned}$$

using the fact that  $|\exp(iA) - \exp(iB)| \leq |A - B|$  for  $A, B \in \mathbb{R}$ . Hence, for every  $\epsilon > 0$  there is some  $\gamma \in \mathbb{Z}^\infty$  such that

$$\sup_{y \in L} |f(y) - \langle y, \gamma \rangle| < \epsilon,$$

showing that  $L$  is a Kronecker set. □

## 5 Subgroups

Suppose that  $G$  is a locally compact abelian group. For each  $x \in G$ , let  $t_x : G \rightarrow G$  be defined by  $t_x(y) = x + y$ , which is a homeomorphism, and let  $\sigma : G \rightarrow G$  be defined by  $\sigma(x) = -x$ , which is also a homeomorphism. If  $A$  is an open set in  $G$  and  $B$  is a subset of  $G$ , then

$$A + B = \bigcup_{x \in B} t_x(A),$$

which is open because  $t_x(A)$  is open for each  $x \in B$ . Furthermore, if  $A$  and  $B$  are both compact sets in  $G$  then  $A \times B$  is compact in  $G \times G$  and  $A + B$  is the image of  $A \times B$  under the continuous map  $(x, y) \mapsto x + y$  hence is compact.

By a **neighborhood** of a point  $x$  in a topological space we mean a set such that  $x$  lies in the interior of the set, in other words, a set that contains an open neighborhood of the point. The collection of all neighborhoods of a point  $x$  is a filter, and a **neighborhood base at  $x$**  is a filter base for the neighborhood filter of  $x$ . In a locally compact Hausdorff space, every point  $x$  has a neighborhood base consisting of compact neighborhoods of  $x$ .

Let  $A : G \times G \rightarrow G$  be  $A(x, y) = x + y$ , which is continuous. If  $W$  is a neighborhood of  $0$  in  $G$ , then  $A^{-1}(W)$  is a neighborhood of  $(0, 0)$  in  $G \times G$ . A base for the product topology on  $G \times G$  consists of sets of the form  $U_1 \times U_2$  where  $U_1, U_2$  are open sets in  $G$ , so there are open sets  $U_1, U_2$  in  $G$  such that  $(0, 0) \in U_1 \times U_2 \subset A^{-1}(W)$ . Each of  $U_1$  and  $U_2$  are then open neighborhoods of  $0$  in  $G$ , so  $V = U_1 \cap U_2$  is also an open neighborhood of  $0$  in  $G$ , and then  $V \times V$  is open in  $G \times G$  and

$$(0, 0) \in V \times V \subset U_1 \times U_2 \subset A^{-1}(W).$$

Hence  $A(0, 0) \subset A(V \times V) \subset W$ , i.e.  $0 \in V + V \subset W$ , and  $V + V$  is open because  $V$  is open. Therefore, for every neighborhood  $W$  of  $0$  in a locally compact abelian group, there is some  $V$  that is an open neighborhood of  $0$  and that satisfies  $V + V \subset W$ .

Suppose that  $G$  is a locally compact abelian group. A subset  $E$  of  $G$  is called **symmetric** if  $E = -E$ . If  $N$  is a compact neighborhood of  $0$  then  $N$  contains an open neighborhood  $U$  of  $0$ . The set  $U \cap \sigma(U)$  is an open neighborhood of  $0$  and the set  $N \cap \sigma(N)$  is compact (an intersection of compact sets in a Hausdorff space is compact) and contains  $U \cap \sigma(U)$ , hence  $N \cap \sigma(N)$  is a compact symmetric neighborhood of  $0$  that is contained in  $N$ . It follows that in a locally compact abelian group, there is a neighborhood base at  $0$  consisting of compact symmetric neighborhoods of  $0$ .

Suppose that  $G$  is an abelian group and that  $H$  is a subgroup of  $G$ . We define the **quotient group**  $G/H$  be the collection of cosets of  $H$ , which is an abelian group where we define

$$(x + H) + (y + H) = (x + y) + H, \quad x, y \in G.$$

Let  $\pi : G \rightarrow G/H$  be the projection map, which is a homomorphism with  $\ker \pi = H$ .

We are now equipped to define quotient groups in the category of locally compact abelian groups. Suppose that  $G$  is a locally compact abelian group and that  $H$  is a closed subgroup of  $G$ . We assign  $G/H$  the final topology induced by the projection map  $\pi$  (namely, the quotient topology). For  $x + H \in G/H$ , there is a compact neighborhood  $N$  of  $x$  in  $G$ ; that is, there is a compact set  $N$  and an open set  $U$  such that  $x \in U \subset N$ . Because  $\pi$  is continuous,  $\pi(N)$  is compact, and because  $\pi$  is open,  $\pi(U)$  is open, so  $\pi(N)$  is a compact neighborhood of  $x + H$  in  $G/H$ . Therefore  $G/H$  is locally compact. It remains to prove that  $G/H$  is Hausdorff and that addition and negation are continuous to prove that  $G/H$  is a locally compact abelian group. Suppose that  $x + H, y + H$  are distinct elements of  $G/H$ , i.e.  $x - y \notin H$ . The set  $y + H = t_y(H)$  is closed because

$H$  is closed, and  $x \notin y + H$  so  $G \setminus t_y(H)$  is an open neighborhood of  $x$ , and hence  $W = t_{-x}(G \setminus t_y(H))$  is an open neighborhood of 0 such that  $x + W$  is disjoint from  $y + H$ . Because  $W$  is an open neighborhood of 0 there is an open neighborhood  $V$  of 0 such that  $V + V \subset W$ . Furthermore, there is a compact symmetric neighborhood of 0,  $N$ , contained in  $V$ . If  $(x + H + N) \cap (y + H + N) \neq \emptyset$  then there are  $h_1, h_2 \in H$  and  $n_1, n_2 \in N$  such that  $x + h_1 + n_1 = y + h_2 + n_2$ , and then  $x + (n_1 - n_2) = y + (h_2 - h_1)$ . But  $-n_2 \in N$  because  $N$  is symmetric and so  $n_1 - n_2 \in N + N \subset V + V \subset W$ , so  $x + (n_1 - n_2) \in x + W$ , and  $h_2 - h_1 \in H$ , so  $y + (h_2 - h_1) \in y + H$ , contradicting that  $x + W$  and  $y + H$  are disjoint. Therefore  $x + H + N$  and  $y + H + N$  are disjoint, and their images under  $\pi$  are then disjoint neighborhoods of  $x + H$  and  $y + H$  in  $G/H$ , showing that  $G/H$  is Hausdorff. It is straightforward to prove that addition and negation are continuous in  $G/H$ , and therefore  $G/H$  is a locally compact abelian group.

If  $H$  is a closed subgroup of a locally compact abelian group  $G$ , the **annihilator of  $H$** , denoted  $\Lambda_H$ , is the set of all  $\gamma \in \widehat{G}$  such that

$$\langle x, \gamma \rangle = 1, \quad x \in H.$$

For each  $x \in H$ , the map  $\gamma \mapsto \langle x, \gamma \rangle$  is continuous  $\widehat{G} \rightarrow S^1$  so the inverse image of  $\{1\}$  under this map is closed.  $\Lambda_H$  is the intersection of all these inverse images hence is closed, and is a closed subgroup because it is apparent that  $\Lambda_H$  is a subgroup of  $\widehat{G}$ . It can be proved that  $\Lambda_H$  is the dual of the quotient group  $G/H$  and that the quotient group  $\widehat{G}/\Lambda_H$  is the dual of  $H$ .<sup>8</sup>

The following lemma shows that we can extend continuous characters on a closed subgroup to the entire group.<sup>9</sup>

**Lemma 5.** *Suppose that  $H$  is a closed subgroup of a locally compact abelian group  $G$ . If  $\phi \in \widehat{H}$ , then there is some  $\gamma \in \widehat{G}$  whose restriction to  $H$  is equal to  $\phi$ .*

*Proof.*  $\phi \in \widehat{H} = \widehat{G}/\Lambda_H$ , so there is some  $\gamma \in \widehat{G}$  such that for all  $x \in H$ ,  $\gamma(x) = \phi(x)$ .  $\square$

Suppose that  $G$  is a locally compact abelian group. It can be proved that if  $E$  is a compact open set in  $G$  and  $0 \in E$ , then  $E$  contains a compact open subgroup of  $G$ .<sup>10</sup>

We are now equipped to prove the following theorem.<sup>11</sup>

**Theorem 6.** *Suppose that  $G$  is a compact group.  $G$  is connected if and only if  $\gamma \in \widehat{G}$  having finite order implies that  $\gamma = 0$ .*

*Proof.* Assume that  $G$  is not connected. Then there is a clopen subset  $A$  that is neither  $G$  nor  $\emptyset$ . Because  $G$  is compact, both  $A$  and  $G \setminus A$  are compact and open, and one of them, call it  $E$ , contains 0. Because  $E$  is a compact open set

<sup>8</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 35, Theorem 2.1.2.

<sup>9</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 36, Theorem 2.1.4.

<sup>10</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 41, Lemma 2.4.3.

<sup>11</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 47, Theorem 2.5.6.



containing 0,  $E$  contains a compact open subgroup  $H$  of  $G$ , and  $H \neq G$  because  $E \neq G$ . Because  $H$  is open, the singleton  $\{0 + H\}$  in the quotient group  $G/H$  is an open set, and therefore  $G/H$  is discrete. But  $G$  is compact and  $G/H$  is the image of  $G$  under the projection map, so  $G/H$  is compact. Hence  $G/H$  is finite. The dual of  $G/H$  is  $\Lambda_H$ , which is a subgroup of  $\widehat{G}$ . Because  $G/H$  contains more than one element (as  $H \neq G$ ),  $\Lambda_H$  contains some  $\gamma \neq 0$ , and  $\gamma$  has finite order because it is contained in the finite subgroup  $\Lambda_H$ .

Assume that  $\gamma \in \widehat{G}$  has finite order and that  $\gamma \neq 0$ . Every element of  $\gamma(G)$  has finite order and  $\gamma(G) \neq \{1\}$ , so  $\gamma(G)$  is not connected. But if  $G$  were connected then  $\gamma(G)$ , a continuous image of  $G$ , would be connected, hence  $G$  is not connected.  $\square$

**Lemma 7.** *Suppose that  $G$  is a locally compact abelian group. If  $A$  is an open subgroup of  $G$ , then  $A$  is closed.*

*Proof.*  $A$  is a subgroup of  $G$ , which gives us

$$A = G \setminus \bigcup_{x \in G \setminus A} (x + A).$$

Because each set  $x + A$  is open, this shows that  $A$  is closed.  $\square$

## 6 Measures

Suppose that  $\mathcal{M}$  is a  $\sigma$ -algebra on a set  $X$ . If  $\mu$  is a complex measure on  $\mathcal{M}$  we denote by  $|\mu|$  its **total variation**, which is a finite positive measure on  $\mathcal{M}$ .<sup>12</sup> The **total variation norm** of  $\mu$  is  $\|\mu\| = |\mu|(X)$ .

Suppose that  $X$  is a Hausdorff space with Borel  $\sigma$ -algebra  $\mathcal{B}_X$  and that  $\mu$  is a complex Borel measure on  $X$ . We say that  $\mu$  is **outer regular** if for each  $E \in \mathcal{B}_X$ ,

$$|\mu|(E) = \inf\{|\mu|(V) : E \subset V \text{ and } V \text{ is open}\}$$

**inner regular** if for each  $E \in \mathcal{B}_X$ ,

$$|\mu|(E) = \sup\{|\mu|(F) : F \subset E \text{ and } F \text{ is closed}\},$$

and **tight** if for each  $E \in \mathcal{B}_X$ ,

$$|\mu|(E) = \sup\{|\mu|(K) : K \subset E \text{ and } K \text{ is compact}\}.$$

(Because we demand that  $X$  be Hausdorff, a compact set is closed and hence belongs to the Borel  $\sigma$ -algebra of  $X$ ; compact sets need not belong to the Borel  $\sigma$ -algebra of a topological space that is not Hausdorff.) We remark that the words “inner regular” often means what we call tight. We say that  $\mu$  is **regular** if it is both outer regular and tight, and we also remark that calling a measure

<sup>12</sup>Walter Rudin, *Real and Complex Analysis*, third ed., p. 117, Theorem 6.2 and p. 118, Theorem 6.4.

regular often means being outer regular and what we call inner regular. What we call a regular complex Borel measure means precisely what Rudin means by these words in *Fourier Analysis on Groups*, and using Rudin's notation we define

$$M(X) = \{\mu : \mu \text{ is a regular complex Borel measure on } X\}.$$

It is a fact that a complex Borel measure on a metrizable space is outer regular and inner regular,<sup>13</sup> and that a complex Borel measure on a Polish space is regular.<sup>14</sup>

Suppose that  $X$  and  $Y$  are locally compact Hausdorff spaces and that  $\mu \in M(X)$  and  $\lambda \in M(Y)$ . It is a fact that there is a unique element of  $M(X \times Y)$ , denoted  $\mu \times \lambda$ , such that for any  $A \in \mathcal{B}_X$  and  $B \in \mathcal{B}_Y$ ,

$$(\mu \times \lambda)(A \times B) = \mu(A)\lambda(B).$$

We call  $\mu \times \lambda$  the **product measure** of  $\mu$  and  $\lambda$ .

Suppose that  $G$  is a locally compact abelian group with addition  $A : G \times G \rightarrow G$ . For  $\mu, \lambda \in M(G)$ , we define the **convolution** of  $\mu$  and  $\lambda$  to be the pushforward of the product  $\mu \times \lambda$  by  $A$ ,

$$\mu * \lambda = A_*(\mu \times \lambda),$$

and it can be proved that  $\mu * \lambda \in M(G)$ , that convolution is commutative and associative, and that  $\|\mu * \lambda\| \leq \|\mu\| \|\lambda\|$ .<sup>15</sup> Then, with convolution as multiplication and using the total variation norm,  $M(G)$  is a unital commutative Banach algebra, with unity  $\delta_0$ .

For  $\mu \in M(G)$ , the **Fourier transform of  $\mu$**  is the function  $\hat{\mu} : \widehat{G} \rightarrow \mathbb{C}$  defined by

$$\hat{\mu}(\gamma) = \int_G \langle -x, \gamma \rangle d\mu(x), \quad \gamma \in \widehat{G}.$$

One proves that  $\hat{\mu}$  is bounded and uniformly continuous, and we define

$$B(\widehat{G}) = \{\hat{\mu} : \mu \in M(G)\}.$$

## 7 Idempotent measures

If  $G$  is a locally compact abelian group and  $\mu \in M(G)$ , we say that  $\mu$  is **idempotent** if  $\mu * \mu = \mu$ , and we denote the set of idempotent elements of  $M(G)$  by  $J(G)$ . Because the Fourier transform of a convolution is the product of the Fourier transforms, for  $\mu \in M(G)$  we have  $\mu * \mu = \mu$  if and only if  $\hat{\mu}^2 = \hat{\mu}$ . But

<sup>13</sup>Charalambos D. Aliprantis and Kim C. Border, *Infinite Dimensional Analysis: A Hitchhiker's Guide*, third ed., p. 436, Theorem 12.5.

<sup>14</sup>Charalambos D. Aliprantis and Kim C. Border, *Infinite Dimensional Analysis: A Hitchhiker's Guide*, third ed., p. 438, Theorem 12.7.

<sup>15</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 13, Theorem 1.3.2; Karl Stromberg, *A note on the convolution of regular measures*, Math. Scand. **7** (1959), 347–352.

$\hat{\mu}^2 = \hat{\mu}$  is equivalent to  $\hat{\mu}$  having range contained in  $\{0, 1\}$ , so for  $\mu \in M(G)$ , we have that  $\mu \in J(G)$  if and only if  $\hat{\mu}$  is the characteristic function of some subset of  $\widehat{G}$ . For  $\mu \in J(G)$ , we write

$$S(\mu) = \{\gamma \in \widehat{G} : \hat{\mu}(\gamma) = 1\}.$$

Suppose that  $\Lambda$  is an open subgroup of  $\widehat{G}$ . Then  $\Lambda$  is closed, and the fact that  $\Lambda$  is open implies that the singleton containing the identity in  $\widehat{G}/\Lambda$  is open and hence that  $\widehat{G}/\Lambda$  is a discrete abelian group. Denoting the annihilator of  $\Lambda$  by  $H$ , which is a closed subgroup of  $G$ , the quotient group  $\widehat{G}/\Lambda$  is the dual group of  $H$  and hence  $H$  is compact. Let  $m_H$  be the Haar measure on  $H$  such that  $m_H(H) = 1$ . Taking  $m_H(E) = m_H(E \cap H)$ ,  $m_H \in M(G)$ . If  $\gamma \in \Lambda$  then

$$\hat{m}_H(\gamma) = \int_G \langle -x, \gamma \rangle dm_H(x) = \int_H \langle -x, \gamma \rangle dm_H(x) = \int_H dm_H(x) = m_H(H) = 1.$$

If  $\gamma \in \widehat{G} \setminus \Lambda$  then there is some  $x_0 \in H$  such that  $\langle x_0, \gamma \rangle \neq 1$ , and then

$$\int_H \langle -x, \gamma \rangle dm_H(x) = \langle x_0, \gamma \rangle \int_H \langle -x_0 - x, \gamma \rangle dm_H(x) = \langle x_0, \gamma \rangle \int_H \langle -x, \gamma \rangle dm_H(x),$$

showing that  $\hat{m}_H(\gamma) = \langle x_0, \gamma \rangle \hat{m}_H(\gamma)$ , and because  $\langle x_0, \gamma \rangle \neq 1$  this implies that  $\hat{m}_H(\gamma) = 0$ . Therefore,  $\Lambda = S(m_H)$ .

If  $E = \gamma_0 + \Lambda$ , then with

$$d\mu(x) = \langle x, \gamma_0 \rangle dm_H(x)$$

we have  $\mu \in J(G)$  and  $E = S(\mu)$ .

## 8 Sidon sets

Let  $G$  be a compact abelian group and let  $E \subset \widehat{G}$ . A function  $f \in L^1(G)$  is called an  **$E$ -function** if  $\gamma \in \widehat{G} \setminus E$  implies that  $\hat{f}(\gamma) = 0$ . An  **$E$ -polynomial** is a trigonometric polynomial  $f$  on  $G$  that is an  $E$ -function.

We call a subset  $E$  of  $\widehat{G}$  a **Sidon set** if there is some  $B_E \geq 0$  such that for every  $E$ -polynomial  $f$  on  $G$ ,

$$\sum_{\gamma \in E} |\hat{f}(\gamma)| \leq B_E \|f\|_\infty.$$

We shall use the following lemma later.<sup>16</sup>

**Lemma 8.** *Suppose that  $\Gamma$  is a discrete abelian group that is the dual group of a compact abelian group  $G$ . If  $E \subset \Gamma$  is a Sidon set with constant  $B_E$ , then every bounded  $E$ -function  $f$  on  $G$  satisfies*

$$\sum_{\gamma \in E} |\hat{f}(\gamma)| \leq B_E \|f\|_\infty.$$

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<sup>16</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 121, Theorem 5.7.3.

## 9 Dirichlet series

Define  $\sigma : \mathbb{Z}^\infty \rightarrow \mathbb{Z}$  by  $\sigma(\gamma) = \sum_{n \in \mathbb{N}} p_n(\gamma)$ , i.e. the sum of the entries of  $\gamma$ , which makes sense because any element of  $\mathbb{Z}^\infty$  has only finitely many nonzero entries.

Let  $Y$  be those  $\gamma \in \mathbb{Z}^\infty$  such that  $p_n(\gamma) \geq 0$  for all  $n \in \mathbb{N}$ , and let  $E = Y \cap \sigma^{-1}(1)$ . In other words, the elements of  $E$  are those  $\gamma \in \mathbb{Z}^\infty$  one coordinate of which is 1 and all other coordinates of which are 0. The proof of the following theorem is from Rudin.<sup>17</sup>

**Theorem 9.** *If  $f \in L^\infty(\mathbb{T}^\omega)$  and  $\hat{f}(\gamma) = 0$  for all  $\gamma \in X \setminus Y$ , then*

$$\sum_{\gamma \in E} |\hat{f}(\gamma)| \leq \|f\|_\infty.$$

*Proof.*  $\sigma : \mathbb{Z}^\infty \rightarrow \mathbb{Z}$  is a continuous group homomorphism, and  $\ker \sigma$  is an open subgroup of  $\mathbb{Z}^\infty$ , because  $\mathbb{Z}^\infty$  is discrete. Because  $\sigma^{-1}(1)$  is a coset of this open subgroup, there is some  $\mu \in J(\mathbb{T}^\omega)$  such that  $\hat{\mu}$  is the characteristic function of  $\sigma^{-1}(1)$ , and this  $\mu$  satisfies  $\|\mu\| = 1$ . Define  $g : \mathbb{T}^\omega \rightarrow \mathbb{C}$  by

$$g(x) = (f * \mu)(x) = \int_{\mathbb{T}^\omega} f(x - y) d\mu(y), \quad x \in \mathbb{T}^\omega,$$

whose Fourier transform is  $\hat{g}(\gamma) = \hat{f}(\gamma) \hat{\mu}(\gamma)$ . If  $\gamma \notin E$  then  $\gamma \notin Y$  or  $\gamma \notin \sigma^{-1}(1)$ . In the first case  $\hat{f}(\gamma) = 0$  and in the second case  $\hat{\mu}(\gamma) = 0$ , and hence  $\gamma \notin E$  implies that  $\hat{g}(\gamma) = 0$ , namely,  $g$  is an  $E$ -function. Also, it is apparent from the definition of  $g$  that  $\|g\|_\infty \leq \|f\|_\infty$ .

Suppose that  $P$  is an  $E$ -polynomial. Hence there is a finite subset  $E_0$  of  $E$  such that  $\gamma \notin E_0$  implies that  $\hat{P}(\gamma) = 0$ , and thus there are  $c_\gamma \in \mathbb{C}$ ,  $\gamma \in E_0$ , such that

$$P(x) = \sum_{\gamma \in E_0} c_\gamma \langle x, \gamma \rangle = \sum_{\gamma \in E_0} c_\gamma \prod_{n \in \mathbb{N}} \langle \pi_n(x), p_n(\gamma) \rangle, \quad x \in \mathbb{T}^\omega.$$

$E_0 \subset E$ , so any element of  $E_0$  has one entry 1, say  $p_{n_\gamma}(\gamma) = 1$ , and all other entries 0, so

$$P(x) = \sum_{\gamma \in E_0} c_\gamma \pi_{n_\gamma}(x).$$

Define  $x \in \mathbb{T}^\omega$  by taking  $c_\gamma \cdot \pi_{n_\gamma}(x) = |c_\gamma|$  for each  $\gamma \in E_0$ , and all other entries of  $x$  to be 1  $\in S^1$ ; this makes sense because if  $\gamma_1, \gamma_2 \in E_0$  and  $n_{\gamma_1} = n_{\gamma_2}$  then  $\gamma_1 = \gamma_2$ . For this  $x$ ,  $P(x) = \sum_{\gamma \in E_0} |c_\gamma|$ . But it is apparent that  $\|P\|_\infty \leq \sum_{\gamma \in E_0} |c_\gamma|$ , so

$$\|P\|_\infty = \sum_{\gamma \in E_0} |c_\gamma|.$$

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<sup>17</sup>Walter Rudin, *Fourier Analysis on Groups*, p. 224, Theorem 8.7.9.

This shows that  $E$  is a Sidon set with  $B_E = 1$ . Therefore by Lemma 8, because  $g$  is a bounded  $E$ -function on  $\mathbb{T}^\omega$  we get  $\sum_{\gamma \in E} |\hat{g}(\gamma)| \leq \|g\|_\infty$ . But  $\hat{\mu}$  is the characteristic function of  $\sigma^{-1}(1)$  and  $E = Y \cap \sigma^{-1}(1)$ , so

$$\sum_{\gamma \in E} \hat{f}(\gamma) = \sum_{\gamma \in E} \hat{f}(\gamma) \hat{\mu}(\gamma) = \sum_{\gamma \in E} \hat{g}(\gamma) \leq \|g\|_\infty \leq \|f\|_\infty,$$

proving the claim.  $\square$

Following Rudin, we use the above theorem to prove a theorem about Dirichlet series due to Bohr.<sup>18</sup>

**Theorem 10** (Bohr). *If*

$$\phi(s) = \sum_{k=1}^{\infty} \frac{c_k}{k^s}$$

and  $|\phi(s)| \leq 1$  for all  $s$  such that  $\operatorname{Re} s > 0$ , then

$$\sum_p |c_p| \leq 1.$$

*Proof.* For  $k \in \mathbb{N}$ , let  $\gamma(k) \in Y$  such that  $k = \prod_{n=1}^{\infty} p_n^{h_n(\gamma(k))}$ , where  $p_n$  are the primes and where  $h_n : \mathbb{Z}^\infty \rightarrow \mathbb{Z}$  are the projection maps; so far we have denoted these projection maps by  $p_n$ , rather than using  $h_n$ , but the symbol  $p_n$  has such a strong association with the primes that we change notation here. The map  $k \mapsto \gamma(k)$  is a bijection  $\mathbb{N} \rightarrow Y$ , and we write  $c_\gamma = c_k$ . We shall use the fact that the image of the primes under this bijection is  $E$ .

Let  $s$  be a complex number in the half-plane of convergence of  $\phi$  and write  $z_n(s) = p_n^{-s} = \exp(-s \log p_n)$ . Then,

$$\begin{aligned} \phi(s) &= \sum_{k=1}^{\infty} c_k k^{-s} \\ &= \sum_{\gamma \in Y} c_\gamma \left( \prod_{n=1}^{\infty} p_n^{h_n(\gamma)} \right)^{-s} \\ &= \sum_{\gamma \in Y} c_\gamma \prod_{n=1}^{\infty} p_n^{-s h_n(\gamma)} \\ &= \sum_{\gamma \in Y} c_\gamma \prod_{n=1}^{\infty} z_n(s)^{h_n(\gamma)} \end{aligned}$$

Defining  $T : \mathbb{R} \rightarrow \mathbb{T}^\omega$  by

$$(\pi_n \circ T)(\sigma) = \exp(-i\sigma \log p_n), \quad n \in \mathbb{N}, \sigma \in \mathbb{R},$$

<sup>18</sup>Walter Rudin, *Fourier Analysis on Groups*, pp. 224–225. See also Maxime Bailleul and Pascal Lefèvre, *Some Banach spaces of Dirichlet series*, [arxiv.org/abs/1311.3845](https://arxiv.org/abs/1311.3845)

we have, as  $z_n(i\sigma) = \exp(-i\sigma \log p_n)$ ,

$$\phi(i\sigma) = \sum_{\gamma \in Y} c_\gamma \prod_{n=1}^{\infty} \langle \pi_n(T(\sigma)), h_n(\gamma) \rangle = \sum_{\gamma \in Y} c_\gamma \langle T(\sigma), \gamma \rangle.$$

One checks that the function  $f : \mathbb{T}^\omega \rightarrow \mathbb{C}$  defined by  $f(x) = \sum_{\gamma \in Y} c_\gamma \langle x, \gamma \rangle$  satisfies the conditions of Theorem 9, and thus gets

$$\sum_p |c_p| = \sum_{\gamma \in E} |c_\gamma| = \sum_{\gamma \in E} |\hat{f}(\gamma)| \leq \|f\|_\infty$$

I do not see why  $\|f\|_\infty \leq 1$ . However, granted this, the claim follows.  $\square$

## 10 Descriptive set theory

If  $(X, d)$  is a compact metric space,  $C(X, X)$  is a Polish space with the **uniform metric**  $(f, g) \mapsto \sup_{x \in X} d(f(x), g(x))$ . We denote by  $H(X)$  the group of homeomorphisms of  $X$ , which one proves is a  $G_\delta$  set in  $C(X, X)$ . Because  $H(X)$  is a  $G_\delta$  set in a Polish space, it is a Polish space with the subspace topology. A homeomorphism  $h$  of  $X$  is said to be **minimal** if there is no proper closed subset of  $X$  that is invariant under  $h$ , and is called **distal** if  $x \neq y$  implies that there is some  $\epsilon > 0$  such that for all  $n \in \mathbb{N}$ ,  $d(h^n(x), h^n(y)) > \epsilon$ . It has been proved (Beleznay-Foreman) that the collection of minimal distal homeomorphisms of  $\mathbb{T}^\omega$  is a Borel  $\Sigma_1^1$ -complete set in  $H(\mathbb{T}^\omega)$ .<sup>19</sup>

## 11 Further reading

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